

# Contact geometry and the 3-body problem

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Consider two primaries, let us say the Earth with mass  $1 - \mu$  and the Moon with mass  $\mu \in [0, 1]$ , moving around each other in a circular orbit.

$$E(t) = (-\mu \cos t, \mu \sin t) \quad M(t) = ((1 - \mu) \cos t, -(1 - \mu) \sin t)$$

Then the movement of third massless particle, say a satellite, is determined by the following Hamiltonian

$$H(q, p) = \frac{1}{2}|p|^2 - \frac{1 - \mu}{|q - E(t)|} - \frac{\mu}{|q - M(t)|}.$$

This gives a (time-dependent) dynamical system via the Hamilton equations:

$$\dot{p}_j = -\frac{\partial H}{\partial q_j} \quad \dot{q}_j = \frac{\partial H}{\partial p_j}$$

Surprisingly, Jacobi found an integral by using a rotating coordinate system. This is the following Hamiltonian,

$$H_J(q, p) = \frac{1}{2}|p|^2 + q_1 p_2 - q_2 p_1 - \frac{1 - \mu}{|q + \mu|} - \frac{\mu}{|q - (1 - \mu)|},$$

which is no longer time-dependent.

For  $\mu \neq 0, 1$ , this system is not integrable. Note that the  $H_J$  is preserved by the Hamilton equations. Also note that the equations are singular: satellite-Earth or satellite-Moon collisions are possible: the hypersurfaces  $H_J = E$  are not compact.

With respect to the symplectic form

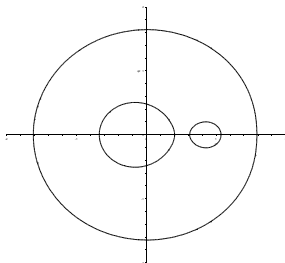
$$\omega = dp \wedge dq$$

the Hamilton equations can be seen as the flow of the Hamilton vector field  $X_{H_J}$  for  $H_J$ ,

$$i_{X_{H_J}} \omega = -dH_J.$$

The dynamics change dramatically by changing the energy level. This is particularly clear if the energy level passes a critical point of the Hamiltonian  $H_J$ . This Hamiltonian has five critical points, called Lagrange points.

- ▶  $H = E < H(L_1)$ : three connected component: close to Earth, close to the Moon, and the region near infinity. There is not enough energy to go from the Earth to the Moon.



**Figure:** Hill's region for  $H = E < H(L_1)$ : the  $q$ -coordinates of the satellite will always stay in this region

- ▶  $H = E \in ]H(L_1), H(L_2)[$ : two connected components. An Earth-Moon component, and the region near infinity. There is sufficient energy to go from the Earth to the Moon, but not enough to escape to infinity.

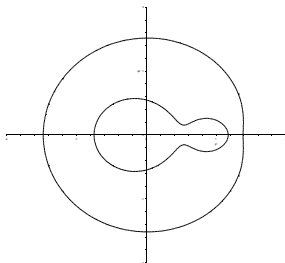


Figure: Hill's region for  $H = E \in ]H(L_1), H(L_2)[$

- ▶  $H = E \in ]H(L_2), H(L_3)[$ : only one connected component; one can escape to infinity via the Moon.

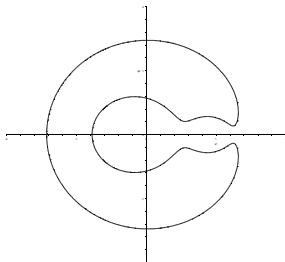


Figure: Hill's region for  $H = E \in ]H(L_2), H(L_3)[$

- ▶  $H = E \in ]H(L_3), H(L_4) = H(L_5)[$ : one connected component

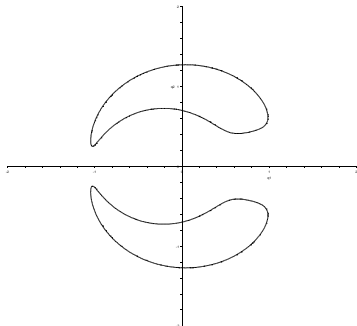


Figure: Hill's region for  $H = E \in ]H(L_3), H(L_4) = H(L_5)[$

- ▶  $H = E > H(L_4) = H(L_5)$ : one connected component



Regularizing collisions: the Kepler problem

$$H_K = \frac{1}{2}|p|^2 - \frac{1}{|q|}.$$

Consider the level set  $H_K = -c$ . The Hamiltonian

$$K = |q|(H_K + c) = \frac{1}{2}(|p|^2 + 2c)|q| - 1$$

on the level set  $K = 0$  has the same dynamics (but different parametrization) as  $H_K = -c$ . Finally, the dynamics do not change if we use

$$Q = \frac{1}{4}(|p|^2 + 2c)^2|q|^2$$

on the level set  $Q = 1$ .

## The Hamiltonian

$$Q = \frac{1}{4}(|p|^2 + 2c)^2|q|^2$$

can also be seen as the Hamiltonian for the geodesic flow on  $S_g T^* S^2$  after stereographic projection. This allows us to compactify level sets of  $H_K$  to  $\mathbb{R}P^3$ . Also, we can see the dynamics of the Kepler problem as geodesics on the round  $S^2$ .

A similar regularization works for the 3-body problem. Note we need to regularize both near the Earth and the Moon. The dynamics are much more complicated than those of the Kepler problem.

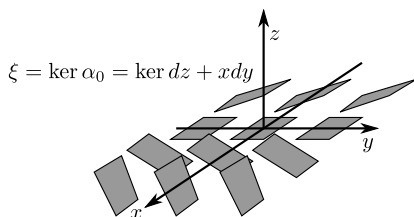
# Contact manifolds

## Definition

A **contact manifold**  $(M, \xi = \ker \alpha)$  is a  $2n + 1$ -dimensional manifold  $M$  with a maximally non-integrable field of hyperplanes  $\xi$ , i.e.

$$\alpha \wedge d\alpha^n \neq 0.$$

$\xi$  is called the **contact structure** and  $\alpha$  a **contact form**.



- ▶ Dynamical systems. Given a contact form  $\alpha$  on  $M$ , we can define the Reeb field by

$$i_R d\alpha = 0, \quad i_R \alpha = 1$$

- ▶ The Reeb flow on  $S_g T^*M$  is the geodesic flow.
- ▶ Dynamics depend on the choice of form  $\alpha$ .

## Remark

*The later role of the contact condition is taming the dynamics.*

## Definition

Two contact manifolds  $(M, \xi = \ker \alpha)$  and  $(N, \eta = \ker \beta)$  are said to be **contactomorphic** if there is a diffeomorphism  $\psi : M \rightarrow N$  such that

$$\psi^* \beta = f \alpha$$

for a non-zero function  $f$ .

This means that  $\psi$  sends contact planes to contact planes

## Theorem (Darboux)

*Let  $(M, \xi = \ker \alpha)$  be a contact manifold. Every  $p \in M$  has a neighborhood  $U$  such that  $(U, \alpha) \cong (\mathbb{R}^{2n+1}, \alpha_0)$ .*

Contact manifolds have no local invariants.

## Definition

A **symplectic manifold**  $(W^{2n}, \omega)$  is a smooth manifold with a closed, non-degenerate 2-form  $\omega$ , i.e.  $\omega^n \neq 0$ .

Examples:  $(\mathbb{R}^{2n}, \omega = d\vec{x} \wedge d\vec{y})$  and  $(T^*M, d\lambda_{can} = dp \wedge dq)$  for any smooth manifold  $M$ .

## Definition

Let  $(W, \omega)$  be a symplectic manifold and  $M \subset W$  a hypersurface. A vector field  $X$  defined on a neighborhood of  $M$  is called **Liouville field** for  $M$  if  $X$  is transverse to  $TM$  and  $\mathcal{L}_X \omega = \omega$

## Proposition

*If  $X$  is Liouville for  $M \subset (W, \omega)$ , then  $(M, i_X \omega)$  is contact.*

We see that  $(S^{2n-1}, \vec{x}d\vec{y} - \vec{y}d\vec{x})$  and  $(ST^*M, \lambda_{can} = pdq)$  are contact.

## Theorem (Albers, Frauenfelder, Paternain, vK)

*The (regular) compact level sets of the regularized, planar restricted 3-body problem are contact up to energy slightly above the first Lagrange point.*

In fact, below the first Lagrange point, a regularized level set of the planar restricted 3-body problem is contactomorphic to  $(\mathbb{R}P^3, \alpha_0) \cong (S^3/\mathbb{Z}_2, \alpha_0)$ . Above the first Lagrange point it is contactomorphic to  $(\mathbb{R}P^3, \alpha_0) \# (\mathbb{R}P^3, \alpha_0)$ .

The proof is elementary: we find Liouville vector fields transverse to level sets of the form

$$q\partial_q$$

This is peculiar, since one usually has  $p\partial_p$  for mechanical systems.

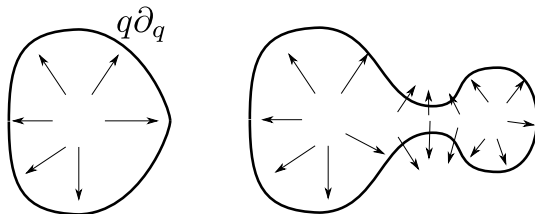


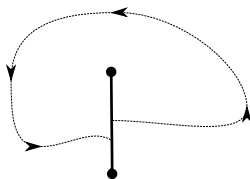
Figure: Liouville vector fields to "contact" the moon

Beyond the first Lagrange points we interpolate the Liouville vector fields for the Earth and the Moon.



## Finite energy foliations

Let  $M$  be a 3-manifold with a non-vanishing vector field  $X$ . In order to understand the flow of  $X$  we want to discretize the flow by a **surface of section**  $S$ : this surface should be transverse to the flow and an orbit intersecting  $S$  must return.



We then get the **return map**

$$\phi : S \longrightarrow S.$$

With the return map we can understand the dynamics more easily. For instance, fixed points of  $\phi$  and its iterates are periodic orbits of the original flow.

Hofer, Wysocki and Zehnder found an abstract means to construct surfaces of section using holomorphic curve methods (solutions to a certain PDE). In order to control the behavior of these holomorphic curves, the contact condition is of great importance.

Let  $J$  be a compatible almost complex structure for a symplectic manifold  $(W^{2n}, \omega = d\lambda)$  with contact end  $(M, \lambda)$ .

This means that  $J : TW \rightarrow TW$  satisfies  $J^2 = -\text{Id}$ ,  $\omega(\dots, J\dots)$  is inner product on  $TW$ .

Consider the non-linear Cauchy-Riemann equation for a map  $u : \Sigma \rightarrow W$ , where  $(\Sigma, j)$  is a punctured Riemann surface,

$$du + J \circ du \circ j = 0.$$

Near the punctures we impose asymptotic boundary conditions:  $u$  should converge to a periodic Reeb orbit. Solutions are called **holomorphic curves**. Alternatively, one can impose a finite energy condition.

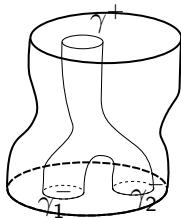


Figure: Finite energy  $J$ -curve in a symplectic manifold

We collect the solutions of this PDE up to automorphism of  $\Sigma$  in a moduli space:  $\mathcal{M}^{W^{2n}}(\gamma_1^+, \dots, \gamma_k^+; \gamma_1^-, \dots, \gamma_l^-)$ . For regular  $J$  this is an orbifold of dimension

$$\sum_j \mu_{\text{CZ}}(\gamma_j^+) - \sum_j \mu_{\text{CZ}}(\gamma_j^-) + (n-3)(2-k-l).$$

Here  $\mu_{CZ}$  is the Conley-Zehnder index, a kind of winding number of the linearized flow near an orbit.

### Remark

*For  $ST^*M$  the Reeb flow corresponds to the geodesic flow. Furthermore, the Conley-Zehnder index of a periodic is equal to the Morse-index of a geodesic, i.e. the index of the energy functional*

$$E(\gamma) = \int_a^b g(\dot{\gamma}, \dot{\gamma}) dt$$

Let  $(M, \lambda, J)$  be a contact manifold with compatible complex structure  $J$ . Extend  $J$  to the symplectization  $\mathbb{R} \times M$ : write  $\tilde{J}$

### Definition

A **finite energy foliation** is a smooth foliation  $\mathcal{F}$  for  $\mathbb{R} \times M$  such that

- ▶ every leaf  $F$  is the projection of an embedded  $\tilde{J}$ -holomorphic curve of finite energy.
- ▶ the foliation respects  $\mathbb{R}$ -translations in the symplectization direction.

Furthermore, there is a uniform energy bound for the holomorphic curves.

Such an energy bound implies that the curve is asymptotic to periodic Reeb orbits.

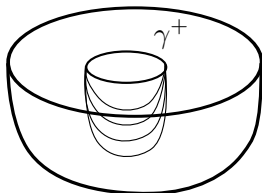


Figure: Finite energy foliation asymptotic to  $\gamma^+$

With the above dimension formula, we see that such a foliation requires Reeb orbits with sufficiently high Conley-Zehnder index. Best case: all indices of contractible Reeb orbits are at least 3: this is called **dynamically convex**. We obtain a finite energy foliation consisting of planes. In particular, we get a disk-like surface of section.

## Lemma

$(S^3, \alpha_0 = \frac{i}{2} \sum_j (z_j d\bar{z}_j - \bar{z}_j dz_j))$  carries a finite energy foliation.

The symplectization of  $S^3$  satisfies

$(\mathbb{R} \times S^3, de^t \alpha_0) \cong (\mathbb{C}^2 - \{0\}, \omega_0)$  and the complex structure  $i$  on  $\mathbb{C}^2$  is adjusted to the symplectization.

There is a finite energy foliation consisting of planes: use the family parametrized by  $a \in \mathbb{C}$

$$\begin{aligned} u_a : \mathbb{C} &\longrightarrow \mathbb{C}^2 - \{0\} \\ z &\longmapsto (z, a). \end{aligned}$$

For  $a \neq 0$  this is a finite energy plane projecting down to a disk with boundary  $(e^{i\phi}, 0)$ .  $a = 0$  corresponds to the bounding orbit.



Above the Lagrange point it is known that index 2-orbits appear:  
 there is no longer a global surface of section.  
 A similar phenomenon already happens in  $S^3$ :

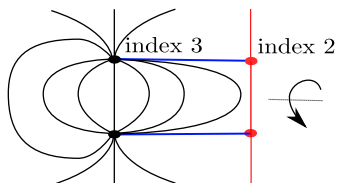


Figure: Breaking of a finite energy foliation in  $S^3 \# S^3$

The blue segments indicate a rigid cylinder and the red segments two rigid planes.

How to obtain dynamical convexity below the Lagrange point?

- ▶ via Finsler geometry
- ▶ via direct computation (only for mass ratio  $\mu = 0$ )
- ▶ via convexity of the Levi-Civita embedding

But none of these methods work all the time.

## Definition

Let  $M$  be a smooth manifold. A Finsler structure on  $M$  consists of  $F : TM \rightarrow \mathbb{R}_{\geq 0}$  such that

- ▶  $F : TM - s_0 \rightarrow \mathbb{R}_{>0}$  is smooth.
- ▶  $F(x, \lambda v) = \lambda F(x, v)$  for  $\lambda > 0$ .
- ▶  $g_{ij} := \left(\frac{1}{2}F^2\right)_{y_i, y_j}$  is positive definite.

Note that  $g_{ij}$  defines a family of metrics in each tangent space  $T_x M$  (as a function of the fiber coordinate).

Many notions in Riemannian geometry have analogues in Finsler geometry: curvature, geodesics

## Proposition

*The unit (co)-tangent bundle of a Finsler manifold carries a natural contact form. Finsler geodesics correspond to periodic Reeb orbits of this form.*

Standard examples are Riemannian norms  $\sqrt{g(\dots, \dots)}$ , where  $g_{ij}$  just returns the Riemannian metric.

Given a Riemannian metric  $g$  and a small 1-form  $\alpha$ , we can define a Randers metric,

$$F(x, y) := \sqrt{g_x(y, y)} + \alpha_x(y).$$

## Theorem (Cieliebak, Frauenfelder, vK)

*The rotating Kepler-problem ( $\mu = 0$ -case) is Finsler for all energies below the first Lagrange point.*

If we consider the level set  $H_K = -c$ , then the Finsler structure has the form

$$F(p, q) = \frac{1}{4}(|p|^2 + 2c)|q| \left( 1 + \sqrt{1 + \frac{16(q_1 p_2 - q_2 p_1)}{|q|(|p| + 2c)^2}} \right)$$

here  $p$  is the base point, and  $q$  the vector (again in stereographic projection).

### Theorem (Harris, Paternain)

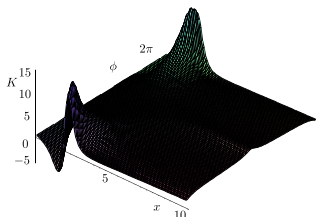
*Suppose  $F$  is a Finsler metric on  $S^2$  such that the curvature  $K \geq \delta > 0$ . If the minimal length of closed geodesics is greater than  $\pi/\sqrt{\delta}$ , then  $S_F T^*S^2$  is dynamically convex.*

There are different (and stronger) versions, but the basic idea is that positive curvature is good for dynamical convexity.

Unfortunately, we have

### Theorem (Cieliebak, Frauenfelder, vK)

*The flag-curvature of the Finsler metric associated with the rotating Kepler problem becomes negative.*



On the other hand, we might be able to use this as a tool to find hyperbolic orbits.

Fortunately, one can compute the Conley-Zehnder/Maslov indices in the rotating Kepler problem more directly: The upshot is the following.

### Theorem (Albers, Fish, Frauenfelder, vK)

*The rotating Kepler problem is dynamically convex for  $H = E < -3/2$ .*

### Corollary

*For  $\mu$  sufficiently small, there exists  $c(\mu) \geq 0$  such that the restricted 3-body problem is dynamically convex for  $H = E < H(L_1) - c(\mu)$ .*

Here  $c(\mu) \rightarrow 0$  as  $\mu \rightarrow 0$ .

Below the first Lagrange point a regularized component of the 3-body problem is  $\mathbb{R}P^3$ : we lift to its double cover  $S^3$  by the Levi-Civita map

For the Kepler problem we have:

$$H(q, p) = \frac{1}{2}|p|^2 - \frac{1}{|q|}.$$

Using the Levi-Civita map

$$\begin{aligned} q &= 2z^2 \\ p &= \frac{w}{\bar{z}} \end{aligned}$$

we can describe the dynamics at energy level  $H = -c$  by the Hamiltonian

$$\tilde{K} = \frac{1}{2} \frac{|w|^2}{|z|^2} - \frac{1}{2|z|^2} + c.$$



This leads to the regularized Hamiltonian,

$$K = |w|^2 + 2c|z|^2 - 1.$$

Note that the level set  $K = 0$  bounds a convex set.  
This is also the case in general.

**Theorem (Albers, Fish, Frauenfelder, Hofer, vK)**

*For all  $\mu \in ]0, 1[$  there exists  $c(\mu) > 0$  such that regularized level sets  $H = E < H(L_1) - c(\mu)$  are convex. Furthermore,  $c(\mu) \rightarrow 0$  as  $\mu \rightarrow 1$ .*

**Corollary**

*Such regularized level sets are dynamically convex.*

# Thank you

謝謝