

FIXED IDEALS OF CERTAIN CARTIER ALGEBRAS OVER F -SPLIT TORIC ALGEBRAS

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In this article, the ideals of an F -split toric algebra that are fixed by certain Cartier algebra are described, extending the result for normal toric algebras in the author's joint work with K. Schwede and W. Zhang.

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1. INTRODUCTION

Let S be a toric algebra over a perfect field \mathbb{K} of characteristic $p > 0$. Given a toric p^{-e} -linear map $\phi : S \rightarrow S$ (also called a toric near splitting), a monomial ideal α of S , and a positive rational number t that has no p in the denominator, we are interested in the ideals I of S satisfying the condition

$$\sum_{n>0} \phi^n \left(\overline{\alpha^{t(p^{ne}-1)}} \cdot I \right) = I, \quad (1.1)$$

where the sum runs through all $n \in \mathbb{N}$ such that $t(p^{ne} - 1) \in \mathbb{N}$ and $\overline{\alpha^{t(p^{ne}-1)}}$ is the integral closure of $\alpha^{t(p^{ne}-1)}$ in S . These ideals are the so-called F -pure submodules of certain Cartier algebra $\mathcal{C}^{\phi, \overline{\alpha^t}}$ in the sense of [1]. We call the ideals satisfying (1.1) $\mathcal{C}^{\phi, \overline{\alpha^t}}$ -fixed. When S is normal, $\mathcal{C}^{\phi, \overline{\alpha^t}}$ -fixed ideals are described in [4]. In particular, there are only finitely many of them. This provides a positive evidence to [1, Question 5.3]. Moreover, the $\mathcal{C}^{\phi, \overline{\alpha^t}}$ -fixed ideals of S are identified in [4] with certain multiplier-like ideals on the normal toric variety $\text{Spec} S$ arising from birational geometry.

The goal of this article is to generalize the description of $\mathcal{C}^{\phi, \overline{\alpha^t}}$ -fixed ideals in [4] to F -split toric algebras. To state the result, we need the following notation, which will be fixed throughout the article.

Notation. Let \mathbb{K} be a perfect field of characteristic $p > 0$, and let Λ be a semigroup satisfying $\mathbb{Z}\Lambda = \mathbb{Z}^d = M$. We assume that 0 is the only invertible element

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in Λ . Denote $\sigma := \mathbb{R}_{\geq 0}\Lambda$ the real cone of Λ in $M_{\mathbb{R}} := M \otimes_{\mathbb{Z}} \mathbb{R}$, and denote $\tilde{\Lambda} := \sigma \cap M$ the saturation of Λ . For any face τ of σ , denote $\text{int } \tau$ the relative interior of τ . Let $S := \mathbb{K}[\Lambda]$ be the semigroup algebra (toric algebra) generated by Λ over \mathbb{K} . Similarly, denote $\tilde{S} = \mathbb{K}[\tilde{\Lambda}]$.

Fix a number $e \in \mathbb{N}$, a p^{-e} -linear map (or a near splitting) on S is a map $\phi : S \rightarrow S$ satisfying $\phi(r^{p^e}s) = r\phi(s)$ for all $r, s \in S$. A toric near splitting is a near splitting $\phi_a : S \rightarrow S$ for some suitable $a \in \frac{1}{p^e}M$ such that for $\alpha \in \Lambda$

$$\phi_a(x^\alpha) = \begin{cases} x^{\alpha + \frac{\alpha}{p^e}} & \text{if } \alpha + \frac{\alpha}{p^e} \in M, \\ 0 & \text{otherwise.} \end{cases}$$

See Proposition 3.1 for a characterization of toric near splittings on toric algebras.

Fix a toric near splitting $\phi = \phi_a$, and set

$$\Gamma_{\phi, \alpha^t} := \left(\frac{p^e a}{p^e - 1} + t \text{Newt } \alpha \right) \cap \Lambda.$$

Here, $\text{Newt } \alpha$ is defined to be the usual Newton polyhedra $\text{Newt}(\alpha\tilde{S})$ in the normal case.

For $\alpha \in \Gamma_{\phi, \alpha^t}$, consider

$$J_\alpha^{\phi, \alpha^t} := \sum_{n \geq 0} \phi^n \left(\overline{\alpha^{t(p^{ne}-1)}} \cdot \langle x^\alpha \rangle \right).$$

Since ϕ and α^t are fixed, we simply write J_α for $J_\alpha^{\phi, \alpha^t}$.

For each face $\tau \subseteq t \text{Newt } \alpha$, denote

$$\Gamma_{\phi, \tau} := \left(\frac{p^e a}{p^e - 1} + \text{int } \tau \right) \cap \Lambda.$$

Let G_τ be the minimal subset of $\Gamma_{\phi, \tau}$ such that $\{x^\alpha \mid \alpha \in G_\tau\}$ generates the ideal $\langle x^\alpha \mid \alpha \in \Gamma_{\phi, \tau} \rangle$, and denote $G := \bigcup_{\tau \subseteq t \text{Newt } \alpha} G_\tau$.

Theorem 1.1. *Assume S is F -split. An ideal I of S is $\mathcal{C}^{\phi, \alpha^t}$ -fixed if and only if $I = \sum_{\alpha \in H} J_\alpha$ for some subset $H \subseteq G$.*

When S is normal, we have $J_\alpha = J_{\alpha'}$ for any $\alpha, \alpha' \in G_\tau$ by [4, Proposition 3.4]. So Theorem 1.1 recovers [4, Theorem 3.5].

A simple example (Example 4.6) is computed to illustrate Theorem 1.1. We also determine the fixed ideals for some non- F -split toric algebra (Examples 4.7), showing that the situation is more subtle in the general case.

2. F-SPLIT TORIC ALGEBRAS

A ring R of prime characteristic p is called F -split if the Frobenius map $F : R \rightarrow R$ splits. The F -split toric algebras have been characterized before (see

for example [2, Proposition 6.2]). For our purpose, we give an alternative characterization in terms of the toric language.

First, the following lemma is well known for a toric algebra $S = \mathbb{K}[\Lambda]$, .

Lemma 2.1 ([6, Proposition 3.4]). *There exists $b_i \in \tilde{\Lambda}$ and faces τ_i of σ , $i = 1, 2, \dots, m$, such that*

$$\tilde{\Lambda} \setminus \Lambda = \bigsqcup_{i=1}^m [b_i + (\tau_i \cap \Lambda)].$$

In particular, there exists $\alpha \in \Lambda \cap \text{int } \sigma$ such that $\alpha + \tilde{\Lambda} \subset \Lambda$.

The proof of Lemma 2.1 basically follows from the fact that the finite S -module \tilde{S}/S admits a finite filtration such that the quotient of each step in the filtration is isomorphic to S/P for some graded associated prime P of S .

Proposition 2.2. *A toric algebra $S = \mathbb{K}[\Lambda]$ is F -split if and only if*

$$p(\tilde{\Lambda} \setminus \Lambda) \cap \Lambda = \emptyset.$$

Proof. First, suppose there exists an element $\beta \in \tilde{\Lambda} \setminus \Lambda$ such that $p\beta \in \Lambda$. Choose $\alpha \in [\Lambda \cap \text{int } \sigma]$ as in Lemma 2.1. Then $(\alpha + p\beta) + \tilde{\Lambda} \subset \Lambda$. In particular, $\alpha + p\beta$ and $\alpha + (p + 1)\beta$ are both in Λ . If S was F -split, there would be a splitting $\phi : S \rightarrow S$ of the Frobenius map. We have

$$x^{\alpha+p\beta} \phi(x^{p\beta}) = \phi(x^{p(\alpha+p\beta)+p\beta}) = x^{\alpha+(p+1)\beta} \phi(1) = x^{\alpha+(p+1)\beta}.$$

This implies $\phi(x^{p\beta}) = x^\beta \in S$ since S is a domain. But this contradicts the assumption that $\beta \notin \Lambda$.

Conversely, if $p(\tilde{\Lambda} \setminus \Lambda) \cap \Lambda = \emptyset$, then for any $\beta \in \Lambda$ either $\frac{\beta}{p} \in \Lambda$ or $\frac{\beta}{p} \notin M$. So we have the well-defined splitting ϕ of the Frobenius map on S :

$$\phi(x^\beta) = \begin{cases} x^{\frac{\beta}{p}} & \text{if } \frac{\beta}{p} \in M, \\ 0 & \text{otherwise.} \end{cases}$$

□

Example 2.3. Consider $\Lambda = \mathbb{N}^2 \setminus \{(k, 0) \mid k \text{ is odd}\}$. Then $\mathbb{K}[\Lambda]$ is split when p is odd.

Corollary 2.4. *If S is F -split, then $(\tilde{\Lambda} \setminus \Lambda) \cap \text{int } \sigma = \emptyset$. In particular, the only one-dimensional F -split toric algebra is the polynomial ring of one variable.*

Proof. If there is an element $\beta \in (\tilde{\Lambda} \setminus \Lambda) \cap \text{int } \sigma$, then by Proposition 2.2

$$p^n \beta \in (\tilde{\Lambda} \setminus \Lambda) \cap \text{int } \sigma$$

for all $n \in \mathbb{N}$. This contradicts Lemma 2.1, which guarantees that $k\beta + \tilde{\Lambda} \subset \Lambda$ for large $k \in \mathbb{N}$. \square

Corollary 2.5. *Assume S is F -split. Suppose $\mathbf{v} \in \tilde{\Lambda} \setminus \Lambda$ such that \mathbf{v} is the primitive lattice point on the ray $\rho_{\mathbf{v}} := \mathbb{R}_{\geq 0}\mathbf{v}$. Then $\rho_{\mathbf{v}} \cap \Lambda = \{k(n_{\mathbf{v}}\mathbf{v}) \mid k \in \mathbb{N}\}$ for some $n_{\mathbf{v}} \in \mathbb{N}$ which is not divisible by p .*

Proof. First notice that some multiple of \mathbf{v} is in Λ , so $\rho_{\mathbf{v}} \cap \Lambda \neq \emptyset$. Let $n_{\mathbf{v}}$ be the smallest positive integer n such that $n\mathbf{v} \in \Lambda$. This implies $n_{\mathbf{v}}$ is not divisible by p , for otherwise $n_{\mathbf{v}} = pk$ for some $k \in \mathbb{N}$. By Proposition 2.2,

$$p(kv) = n_{\mathbf{v}}\mathbf{v} \in \Lambda \implies kv \in \Lambda.$$

This contradicts the minimality of $n_{\mathbf{v}}$.

To complete the proof, assume that $0 < n_0 = n_{\mathbf{v}} < n_1 < n_2 < \dots$ be all the integers satisfying $n_i\mathbf{v} \in \Lambda$. We will show by induction that each n_i is divisible by $n_{\mathbf{v}}$. Clearly, n_0 is divisible by $n_{\mathbf{v}}$. Suppose n_0, \dots, n_{k-1} is divisible by $n_{\mathbf{v}}$. Since p does not divide $n_{\mathbf{v}}$, there exists $0 \leq l \leq p-1$ such that $p \mid (ln_{\mathbf{v}} + n_k)$. By Proposition 2.2 again, $\frac{ln_{\mathbf{v}} + n_k}{p}\mathbf{v} \in \Lambda$. But $\frac{ln_{\mathbf{v}} + n_k}{p} = \frac{(p-1)n_k + n_k}{p} < n_k$ implies that $\frac{ln_{\mathbf{v}} + n_k}{p} = n_i$ for some $i < k$. Therefore, the induction hypothesis implies that $n_k = pn_i - ln_{\mathbf{v}}$ is divisible by $n_{\mathbf{v}}$. \square

We will use the following lemma, whose proof is evident.

Lemma 2.6. *Let $n \in \mathbb{N}$, and let p be a prime number such that $p \nmid n$. Then for any $e \in \mathbb{N}$, there exists $l \in \mathbb{N}$ such that*

$$n \text{ divides } \sum_{i=0}^{l-1} p^{ei}.$$

Corollary 2.7. *Assume S is F -split. If $\lambda \in \tilde{\Lambda}$, then for any $e \in \mathbb{N}$ there exists $l \in \mathbb{N}$ such that*

$$\left(\frac{p^{le} - 1}{p^e - 1}\right)\lambda = \left(\sum_{i=0}^{l-1} p^{ei}\right)\lambda \in \Lambda.$$

Proof. If $\lambda \in \Lambda$, simply take $l = 1$. Suppose $\lambda \notin \Lambda$. As in Corollary 2.5, let $\mathbf{v} \in \tilde{\Lambda} \setminus \Lambda$ be the primitive lattice point on the ray $\mathbb{R}_{\geq 0}\lambda$, let $n_{\mathbf{v}} \in \mathbb{N}$ be the smallest positive integer such that $n_{\mathbf{v}}\mathbf{v} \in \Lambda$, and write $\lambda = n_{\lambda}\mathbf{v}$. Since $p \nmid n_{\mathbf{v}}$, by Lemma 2.6 there exists $k, l \in \mathbb{N}$ such that

$$kn_{\mathbf{v}} = \left(\sum_{i=0}^{l-1} p^{ei}\right).$$

Therefore,

$$\left(\sum_{i=0}^{l-1} p^{ei}\right)\lambda = kn_{\lambda}(n_{\mathbf{v}}\mathbf{v}) \in \Lambda. \quad \square$$

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3. TORIC NEAR SPLITTINGS

In this section, we determine all the toric near splittings on an F -split toric algebra S following [5].

Let ϕ_0 be the canonical splitting on $\mathbb{K}[M]$, namely for $\alpha \in M$,

$$\phi_0(x^\alpha) = \begin{cases} x^{\frac{\alpha}{p}} & \text{if } \frac{\alpha}{p} \in M, \\ 0 & \text{otherwise.} \end{cases}$$

For $e \in \mathbb{N}$ and $a \in \frac{1}{p^e}M$, consider the near splitting $\phi_a : \mathbb{K}[M] \rightarrow \mathbb{K}[M]$ defined by

$$\phi_a(\square) = \phi_0^e(x^{p^e a} \cdot \square).$$

We say ϕ_a is regular on S if $\phi_a(S) \subseteq S$ (i.e., ϕ_a induces a well-defined near splitting on S). In this case, we call ϕ_a a near splitting of S . It is observed in [5] that the ϕ_a 's form a basis of the space of near splittings

$$\text{Hom}_{\mathbb{K}[M]}(F_*^e \mathbb{K}[M], \mathbb{K}[M])$$

and that an element $\sum c_i \phi_{a_i} \in \text{Hom}_{\mathbb{K}[M]}(F_*^e \mathbb{K}[M], \mathbb{K}[M])$, $c_i \in \mathbb{K}^\times$, lies in $\text{Hom}_S(F_*^e S, S)$ if and only if each ϕ_{a_i} is regular on S . Here, for a module M over a ring R of positive characteristic p , we denote $F_*^e M$ the additive group M equipped with an R -module structure via the R -action $r \cdot m := r^{p^e} m$. Notice that in [5], the monomials in $F_*^e S$ are identified with the points in $\frac{1}{p^e}\Lambda$. We do not use that identification here.

We generalize Proposition 4.2 in [5] to general toric algebras.

Proposition 3.1. *For $a \in \frac{1}{p^e}M$, the map ϕ_a is regular on S if and only if*

$$a \in \bigcap_{\mathbf{v}_\rho} \left\{ v \in \frac{1}{p^e}M \mid (v, \mathbf{v}_\rho) > -1 \right\} \setminus \bigcup_{\beta \in \tilde{\Lambda} \setminus \Lambda} \left(\beta - \frac{1}{p^e}\Lambda \right).$$

Here the \mathbf{v}_ρ 's are the primitive lattice points on the rays of the dual cone σ^\vee of $\sigma = \mathbb{R}_{\geq 0}\Lambda$.

Proof. We first prove the (if) part. Let

$$a \in \bigcap_{\mathbf{v}_\rho} \left\{ v \in \frac{1}{p^e}M \mid (v, \mathbf{v}_\rho) > -1 \right\} \setminus \bigcup_{\beta \in \tilde{\Lambda} \setminus \Lambda} \left(\beta - \frac{1}{p^e}\Lambda \right),$$

and suppose $\lambda \in \Lambda$ with $\frac{\lambda}{p^e} + a \in M$. We need to show that $\frac{\lambda}{p^e} + a \in \Lambda$ (i.e., $\phi_a(x^\lambda) = x^{\frac{\lambda}{p^e} + a} \in S$). Observe that

$$\left(\frac{\lambda}{p^e} + a, \mathbf{v}_\rho \right) > \left(\frac{\lambda}{p^e}, \mathbf{v}_\rho \right) - 1 \geq -1$$

for all \mathbf{v}_ρ and hence $\frac{\lambda}{p^e} + a \in \tilde{\Lambda}$. This implies $\frac{\lambda}{p^e} + a \in \Lambda$, since otherwise

$$a = \left(\frac{\lambda}{p^e} + a\right) - \frac{\lambda}{p^e} \in \left[\left(\frac{\lambda}{p^e} + a\right) - \frac{1}{p^e}\Lambda\right]$$

contradicting the assumption.

We now prove the (only if) part.

Suppose $(a, \mathbf{v}_{\rho_0}) \leq -1$ for some ρ_0 . Using the notation in Lemma 2.1, we choose $b = b_i$ so that $\tau = \tau_i$ is the facet corresponding to ρ_0 and such that if $j \neq i$ is such that $\tau_j = \tau$ then $(b_j, \mathbf{v}_{\rho_0}) \leq (b, \mathbf{v}_{\rho_0})$. If there is no such b , just choose any $b \in \mathbb{Z}^d$ so that $(b, \mathbf{v}_{\rho_0}) = -1$. Now, choose $\beta \in [b + (\Lambda \cap \text{int } \tau)] \subseteq (\mathbb{Z}^d \setminus \Lambda)$ so that $(\beta - a, \mathbf{v}_\rho) > (b_j, \mathbf{v}_\rho)$ for all $b_j \neq b$ and all $\rho \neq \rho_0$ (if no such b_j exists, we require $(\beta - a, \mathbf{v}_\rho) > 0$ for all $\rho \neq \rho_0$). Notice that $(\beta - a, \mathbf{v}_{\rho_0}) \geq (\beta, \mathbf{v}_{\rho_0}) + 1 = (b, \mathbf{v}_{\rho_0}) + 1$. By Lemma 2.1 and by the choice of b , we must have $\beta - a \in \frac{1}{p^e}\tilde{\Lambda}$ and

$$[(\beta - a) + \sigma] \cap (\tilde{\Lambda} \setminus \Lambda) = \emptyset.$$

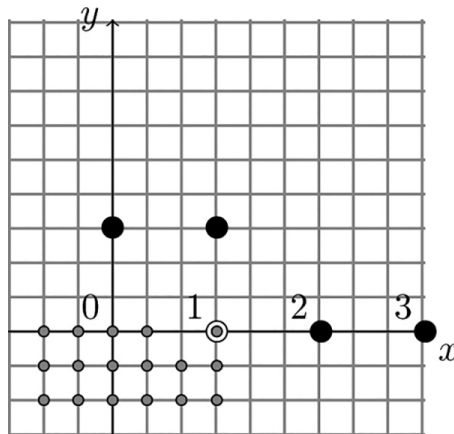
Therefore, ϕ_a is not regular since $p^e(\beta - a) \in \Lambda$ but $\phi_a(x^{p^e(\beta-a)}) = x^\beta \notin S$.

To complete the proof, we need to show that ϕ_a is regular implies

$$a \notin \bigcup_{\beta \in \tilde{\Lambda} \setminus \Lambda} \left(\beta - \frac{1}{p^e}\Lambda\right).$$

Suppose $a \in \beta - \frac{1}{p^e}\Lambda$ for some $\beta \in \tilde{\Lambda} \setminus \Lambda$. Then $p^e(\beta - a) \in \Lambda$. Since $\phi_a(x^{p^e(\beta-a)}) = x^\beta \notin S$, we see that ϕ_a is not regular. □

We illustrate Proposition 3.1 by the following figure. Consider the toric algebra generated by the four black dots $(0, 1), (1, 1), (2, 0), (3, 0)$ in characteristic $p = 3$. We have $\tilde{\Lambda} \setminus \Lambda = \{(1, 0)\}$. The gridded area (excluding $x = -1$ and $y = -1$) indicates the candidates $a \in \bigcap_{\mathbf{v}_\rho} \left\{v \in \frac{1}{p}M \mid (v, \mathbf{v}_\rho) > -1\right\}$ for ϕ_a to be a regular map.



The small gray dots indicate the points in $\bigcup_{\beta \in \tilde{\Lambda} \setminus \Lambda} \left(\beta - \frac{1}{p}\Lambda\right)$ that should be removed from the candidate set.

4. $\mathcal{C}^{\phi, \bar{\alpha}^t}$ -FIXED IDEALS

In this section, fix an F -split toric algebra S , a monomial ideal α of S , and a number $t \in \mathbb{Q}_{>0}$. Given an element $a \in \frac{1}{p^e}M$ such that the toric near splitting $\phi = \phi_a$ is regular on S , we have for each $\alpha \in \Lambda$ either $\frac{\alpha}{p^e} + a \in \Lambda$ or $\frac{\alpha}{p^e} + a \notin M$. We are going to characterize the $\mathcal{C}^{\phi, \bar{\alpha}^t}$ -fixed ideals.

To simplify the presentation, we introduce for any $n \in \mathbb{Z}$ and for any $\alpha \in M$ the element

$$\alpha_n := p^{ne} \left(\alpha - \frac{p^e a}{p^e - 1} \right) + \frac{p^e a}{p^e - 1}.$$

We have immediately that

$$\alpha_n - \alpha = (p^{ne} - 1) \left(\alpha - \frac{p^e a}{p^e - 1} \right). \tag{4.1}$$

Also, if $n \geq 0$, then $\alpha_n = (\alpha_n - \alpha) + \alpha \in M$, and one can also check that

$$\phi^n(x^{\alpha_n}) = x^\alpha. \tag{4.2}$$

Our goal is to describe the ideals $I \subseteq S$ satisfying (1.1)

$$\sum_{n>0} \phi^n \left(\overline{\alpha^{t(p^{ne}-1)}} \cdot I \right) = I,$$

where the sum runs through all $n \in \mathbb{N}$ such that $t(p^{ne} - 1) \in \mathbb{N}$ and $\overline{\alpha^{t(p^{ne}-1)}}$ is the integral closure of $\alpha^{t(p^{ne}-1)}$ in S .

When S is normal, it is well known that the integral closure of a monomial ideal $J \subseteq S$ is

$$\bar{J} = \langle x^\alpha \mid \alpha \in \text{Newt}(J) \cap \Lambda \rangle,$$

where $\text{Newt}(J)$ is the convex hull of the set $\{\alpha \in M \mid x^\alpha \in J\}$. For general toric algebras S , define $\text{Newt}(J) := \text{Newt}(J\tilde{S})$. The following lemma is valid without the F -split assumption on S .

Lemma 4.1. *Let I, J be monomial ideals of a toric algebra S . Then the following statements are true:*

- (1) $\bar{J} = \langle x^\alpha \mid \alpha \in \text{Newt}(J) \cap \Lambda \rangle$.
- (2) $\text{Newt}(I) + \text{Newt}(J) = \text{Newt}(IJ)$. In particular, $\bar{I}^n = \langle x^\alpha \mid \alpha \in (n\text{Newt}(I) \cap \Lambda) \rangle$ for any $n \in \mathbb{N}$.
- (3) If $I = \langle x^g \mid g \in \Gamma \rangle$, then $\text{Newt}(I) = \text{Conv}(\Gamma) + \sigma$ where $\text{Conv}(\Gamma)$ is the convex hull of Γ .

Proof. When S is normal, these are proved in [4, Lemma 3.1]. All these statements extend readily to general S according to [3, Proposition 1.6.1] which states that if $R \subset S$ is an integral ring extension and I is an ideal of R then $\overline{IS} \cap R = \overline{I}$. \square

One can also extend [4, Proposition 3.2] to F -split toric algebras.

Proposition 4.2. *If an ideal $I \subseteq S$ is $\mathcal{C}_{\phi, \alpha^t}$ -fixed, then $I \subseteq I_{\phi, \alpha^t} := \langle x^\alpha \mid \alpha \in \Gamma_{\phi, \alpha^t} \rangle$ where*

$$\Gamma_{\phi, \alpha^t} := \left(\frac{p^e a}{p^e - 1} + t \operatorname{Newt} \alpha \right) \cap \Lambda.$$

Moreover, I is a monomial ideal.

Proof. The first statement is valid without the assumption that S is F -split. It follows from Lemma 4.1 and the first half of the proof of [4, Proposition 3.2]. Indeed, for any element

$$h = \sum c_j x^{\alpha_j} \in I (c_j \neq 0),$$

fix a term x^{α_j} and set $\alpha = \alpha_j$. Since $\sum_{n>0} \phi^n \left(\overline{\alpha^{t(p^n e - 1)}} \cdot I \right) = I$, there exists $n_1 > 0$, $\alpha' \in \Lambda$, and $\beta_1 \in t(p^{n_1 e} - 1) \operatorname{Newt}(\alpha) \cap \Lambda$, such that $x^{\alpha'} \in I$ and $\alpha_{n_1} = \beta_1 + \alpha'$. Here α_{n_1} is defined as in (4.1) so that $\phi(x^{\alpha_{n_1}}) = x^\alpha$. Repeating the same process k times for α' in the place of α , for $2 \leq i \leq k$ we can find $n_i \in \mathbb{N}$, $\beta_i \in t(p^{n_i e} - 1) \operatorname{Newt}(\alpha) \cap \Lambda$, and $\alpha^{(i)} \in \Lambda$ such that

$$\alpha_{\sum_{i=1}^k n_i} = \sum_{i=1}^{k-1} (\beta_i)_{n_{i+1}} + \beta_k + \alpha^{(k)}.$$

By definition (4.1), $(\beta_i)_{n_{i+1}} = p^{n_{i+1} e} \left(\beta_i - \frac{p^e a}{p^e - 1} \right) + \frac{p^e a}{p^e - 1}$, so

$$(\beta_i)_{n_{i+1}} \in \left[(1 - p^{n_{i+1} e}) \frac{p^e a}{p^e - 1} + t p^{n_{i+1} e} (p^{n_i e} - 1) \operatorname{Newt}(\alpha) \right].$$

Hence, by Lemma 4.1(3)

$$\begin{aligned} \alpha_{\sum_{i=1}^k n_i} &= p^{\sum_{i=1}^k n_i} \alpha - \left(p^{\sum_{i=1}^k n_i} - 1 \right) \left(\frac{p^e a}{p^e - 1} \right) \\ &= \sum_{i=1}^{k-1} (\beta_i)_{n_{i+1}} + \beta_k + \alpha^{(k)} \\ &\in \left[\left(k - \sum_{i=1}^k p^{n_{i+1} e} \right) \frac{p^e a}{p^e - 1} + t \left(p^{\sum_{i=1}^k n_i e} - 1 \right) \operatorname{Newt}(\alpha) \right]. \end{aligned}$$

Dividing by $p^{\sum_{i=1}^k n_i}$ and letting k go to infinity, we see that $\alpha \in \Gamma_{\phi, \alpha^t}$ as desired.

For the second statement, since $(p^e - 1) \left(\alpha - \frac{p^e a}{p^e - 1} \right) \in [t(p^e - 1) \text{Newt}(\alpha)] \cap \widetilde{\Lambda}$ and since S is F -split, by Corollary 2.7 there exists $l \in \mathbb{N}$ such that $t(p^{le} - 1) \in \mathbb{N}$ and

$$\alpha_l - \alpha \stackrel{(4.1)}{=} (p^{le} - 1) \left(\alpha - \frac{p^e a}{p^e - 1} \right) \in [t(p^{le} - 1) \text{Newt}(\alpha)] \cap \Lambda.$$

Therefore,

$$\phi^l(x^{\alpha_l - \alpha} \cdot h) \in \phi^l(\overline{\alpha^{t(p^{le} - 1)}} \cdot I) \subseteq I.$$

By (4.2),

$$\phi^l(x^{\alpha_l - \alpha} \cdot h) = \sum_j c_j^{\frac{1}{p^{le}}} x^{\alpha + \frac{\alpha_j - \alpha}{p^{le}}} = c_i^{\frac{1}{p^{le}}} x^\alpha.$$

Since l can be chosen arbitrarily large, we must have $x^\alpha \in I$. Moreover, since $\alpha = \alpha_i$ is arbitrary chosen, we conclude that I is a monomial ideal. \square

We will often need the following lemma which is observed in the proof of Proposition 4.2.

Lemma 4.3. *For any $\alpha \in \Gamma_{\phi, \alpha^t}$ (i.e. $x^\alpha \in I_{\phi, \alpha^t}$), there exists $l \in \mathbb{N}$ such that $t(p^{le} - 1) \in \mathbb{N}$ and*

$$x^{\alpha_l - \alpha} = x^{(p^{le} - 1) \left(\alpha - \frac{p^e a}{p^e - 1} \right)} \in \overline{\alpha^{t(p^{le} - 1)}} \subseteq S.$$

Proposition 4.4. *The ideal $I_{\phi, \alpha^t} = \langle x^\alpha \mid \alpha \in \Gamma_{\phi, \alpha^t} \rangle$ is $\mathcal{C}^{\phi, \alpha^t}$ -fixed.*

Proof. We need to show

$$\sum_{n>0} \phi^n(\overline{\alpha^{t(p^{ne} - 1)}} \cdot I_{\phi, \alpha^t}) = I_{\phi, \alpha^t}.$$

For the containment (\subseteq), fix any $n \in \mathbb{N}$ so that $t(p^{ne} - 1) \in \mathbb{N}$, and let

$$x^\beta \in \overline{\alpha^{t(p^{ne} - 1)}} \cdot I_{\phi, \alpha^t}.$$

Then $\beta \in \left[t(p^{ne} - 1) \text{Newt}(\alpha) + \frac{p^e a}{p^e - 1} + t \text{Newt} \alpha \right] = \left[\frac{p^e a}{p^e - 1} + t p^{ne} \text{Newt} \alpha \right]$. So either $\phi^n(x^\beta) = 0$ or

$$\phi^n(x^\beta) \stackrel{(4.2)}{=} x^{\beta - n} = x^{p^{-ne} \left(\beta - \frac{p^e a}{p^e - 1} \right) + \frac{p^e a}{p^e - 1}},$$

where $\beta - n \in \Gamma_{\phi, \alpha^t}$. Therefore, $\phi^n(x^\beta) \in I_{\phi, \alpha^t}$ as desired.

Conversely, let $x^\beta \in I_{\phi, \alpha^t}$, and by Lemma 4.3 there exists $l \in \mathbb{N}$ such that

$$x^{\beta_l - \beta} \in \overline{\alpha^{t(p^{le} - 1)}}.$$

Therefore,

$$x^\beta = \phi^l(x^{\beta_l}) = \phi^l(x^{\beta_l-\beta} \cdot x^\beta) \in \phi^l(\overline{\alpha^{t(p^l-1)}} \cdot I_{\phi, \alpha^t}). \quad \square$$

We now describe the basic $\mathcal{E}^{\phi, \overline{\alpha^t}}$ -fixed ideals.

As in the introduction, consider for each $\alpha \in \Gamma_{\phi, \alpha^t}$ the ideal

$$J_\alpha = J_\alpha^{\phi, \alpha^t} = \sum_{n \geq 0} \phi^n(\overline{\alpha^{t(p^{ne}-1)}} \cdot \langle x^\alpha \rangle)$$

It follows from Proposition 4.4 that $\langle x^\alpha \rangle \subseteq J_\alpha \subseteq I_{\phi, \alpha^t}$.

Proposition 4.5.

- (1) J_α is $\mathcal{E}^{\phi, \overline{\alpha^t}}$ -fixed.
- (2) If I is $\mathcal{E}^{\phi, \overline{\alpha^t}}$ -fixed, then for any $x^\alpha \in I$ we have $J_\alpha \subseteq I$. In particular, if $I = \langle x^{\alpha_1}, \dots, x^{\alpha_k} \rangle$ is $\mathcal{E}^{\phi, \overline{\alpha^t}}$ -fixed, then $I = \sum_{i=1}^k J_{\alpha_i}$.

Proof. (1) By construction of J_α , we have

$$\sum_{n > 0} \phi^n(\overline{\alpha^{t(p^{ne}-1)}} \cdot J_\alpha) \subseteq J_\alpha.$$

Conversely, let $x^\beta \in \langle x^\alpha \rangle$. By Lemma 4.3, there exists $l \in \mathbb{N}$ such that $t(p^l - 1) \in \mathbb{N}$ and $x^{\beta_l - \beta} \in \overline{\alpha^{t(p^l-1)}}$. So

$$x^\beta \stackrel{(4.2)}{=} \phi^l(x^{\beta_l - \beta} \cdot x^\beta) \in \phi^l(\overline{\alpha^{t(p^l-1)}} \cdot \langle x^\alpha \rangle) \subseteq \phi^l(\overline{\alpha^{t(p^l-1)}} \cdot J_\alpha).$$

Therefore,

$$J_\alpha = \left[\langle x^\alpha \rangle + \sum_{n > 0} \phi^n(\overline{\alpha^{t(p^{ne}-1)}} \cdot \langle x^\alpha \rangle) \right] \subseteq \sum_{n > 0} \phi^n(\overline{\alpha^{t(p^{ne}-1)}} \cdot J_\alpha).$$

- (2) For the first statement, observe again that

$$J_\alpha = \sum_{n \geq 0} \phi^n(\overline{\alpha^{t(p^{ne}-1)}} \cdot \langle x^\alpha \rangle) \subseteq \sum_{n \geq 0} \phi^n(\overline{\alpha^{t(p^{ne}-1)}} \cdot I) = I.$$

The second statement follows immediately. □

Now, we are ready to describe all $\mathcal{E}^{\phi, \overline{\alpha^t}}$ -fixed ideals.

Recall that for each face $\tau \subseteq t \text{Newt } \alpha$,

$$\Gamma_{\phi, \tau} := \left(\frac{p^e a}{p^e - 1} + \text{int } \tau \right) \cap \Lambda,$$

G_τ is the minimal subset of $\Gamma_{\phi, \tau}$ such that $\{x^\alpha \mid \alpha \in G_\tau\}$ generates the ideal $\langle x^\alpha \mid \alpha \in \Gamma_{\phi, \tau} \rangle$, and $G := \bigcup_{\tau \subseteq t \text{Newt } \alpha} G_\tau$.

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Proof of Theorem 1.1. The (if) part follows from Proposition 4.5(1) and the fact that sums of $\mathcal{E}^{\phi, \bar{\alpha}^t}$ -fixed ideals are $\mathcal{E}^{\phi, \bar{\alpha}^t}$ -fixed.

Conversely, if I is $\mathcal{E}^{\phi, \bar{\alpha}^t}$ -fixed, then by Proposition 4.2 I is a monomial ideal satisfying $I \subseteq I_{\phi, \bar{\alpha}^t}$. Let

$$H = \{\alpha \in G \mid x^\alpha \in I\}.$$

Then $I \supseteq \sum_{\alpha \in H} J_\alpha$ by Proposition 4.5(2). We claim that

$$H \neq \emptyset \quad \text{and} \quad I = \sum_{\alpha \in H} J_\alpha.$$

Let $x^\beta \in I$. By Proposition 4.2, there is a unique face $\tau \subseteq t \text{Newt}(\alpha)$ such that $\beta \in \Gamma_{\phi, \tau}$. So there exists an $\alpha \in G_\tau$ such that $\beta \in \alpha + \Lambda$, namely $x^\beta \in \langle x^\alpha \rangle \subseteq J_\alpha$. It suffices to prove that $\alpha \in H$.

If $\alpha = \beta$, there is nothing to do. Suppose $\alpha \neq \beta$, and let δ be the face of σ such that $\beta - \alpha \in (\text{int } \delta) \cap \Lambda$. On each ray (one-dimensional face) of δ , choose an element in Λ . Denote these elements by $\gamma_1, \dots, \gamma_k$. Then there exists $l \in \mathbb{N}$ such that

$$l(\beta - \alpha) = \sum_{i=1}^k n_i \gamma_i, \quad \text{for some } n_i \in \mathbb{N}.$$

On the other hand, since

$$\alpha_1 - \alpha = (p^e - 1) \left(\alpha - \frac{p^e a}{p^e - 1} \right) \in \tilde{\Lambda},$$

we must have $\alpha_1 - \alpha \in (\text{int } \delta') \cap \tilde{\Lambda}$ for some unique face δ' of σ . Note that if $\tau = t \text{Newt } \alpha$, then $\alpha_1 - \alpha \in \text{int } \sigma$ and hence $\delta' = \sigma \supseteq \delta$. Also, if $\tau \subsetneq t \text{Newt } \alpha$, then

$$0 \neq \beta - \alpha \in [\Lambda \cap (\text{int } \tau - \text{int } \tau) \cap \text{int } \delta]$$

implies that τ contains a translation of δ . In this case, since $\alpha \in \Gamma_{\phi, \tau}$, we still have $\delta' \supseteq \delta$. So we may choose an element in Λ on each ray of δ' that is not in δ (if exists). Denote these elements $\gamma_{k+1}, \dots, \gamma_{k'}$ ($k \leq k'$). Write

$$\alpha_1 - \alpha = \sum_{i=1}^{k'} q_i \gamma_i \quad \text{for some } q_i \in \mathbb{Q}_{>0}.$$

Choose $u, v \in \mathbb{N}$ such that $p \nmid v$ and that $p^u v q_i \in \mathbb{N}$ for $i = 1, \dots, k'$. By Lemma 2.6, there exists $n \in \mathbb{N}$ such that the following statements hold:

- (1) $t(p^{ne} - 1) \in \mathbb{N}$;
- (2) v divides $\frac{p^{ne} - 1}{p^e - 1}$;
- (3) $\left(\frac{p^{ne} - 1}{p^e - 1} \right) p^u q_i \geq p^u n_i$, for $i = 1, \dots, k$.

In particular, by (4.1)

$$\begin{aligned}
 p^u [(\alpha_n - \alpha) - l(\beta - \alpha)] &= \left[\left(\frac{p^{ne} - 1}{p^e - 1} \right) p^u (\alpha_1 - \alpha) - p^u l(\beta - \alpha) \right] \\
 &= \sum_{i=1}^k \left[\left(\frac{p^{ne} - 1}{p^e - 1} \right) p^u q_i - p^u n_i \right] \gamma_i + \sum_{i=k+1}^{k'} \left[\left(\frac{p^{ne} - 1}{p^e - 1} \right) p^u q_i \right] \gamma_i \\
 &\in \Lambda.
 \end{aligned}$$

Since S is F -split, we have $(\alpha_n - \alpha) - l(\beta - \alpha) \in \Lambda$ by Proposition 2.2. Hence

$$\alpha_n - \beta \in [(l - 1)(\beta - \alpha) + \Lambda] \subseteq \Lambda.$$

Notice also that, since τ contains a translation of δ , for l sufficiently large

$$\begin{aligned}
 \alpha_n - \beta &= (\alpha_n - \alpha) - (\beta - \alpha) = (p^{ne} - 1) \left(\alpha - \frac{p^e a}{p^e - 1} \right) - \sum_{i=1}^k \frac{n_i}{l} \gamma_i \\
 &\in (p^{ne} - 1) \text{int } \tau - \sum_{i=1}^k \frac{n_i}{l} \gamma_i \\
 &\subseteq (p^{ne} - 1) \text{int } \tau \\
 &\subseteq t(p^{ne} - 1) \text{Newt } \alpha.
 \end{aligned}$$

Therefore, we have $x^{\alpha_n - \beta} \in \overline{\alpha^{t(p^{ne} - 1)}}$ and

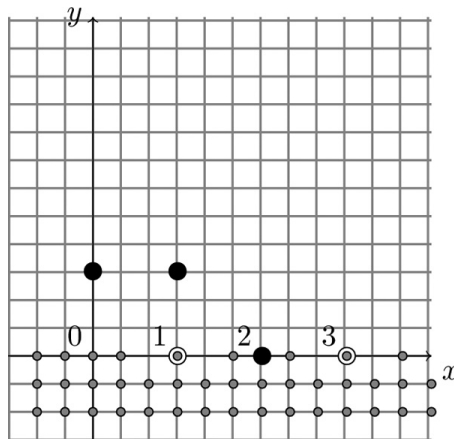
$$x^\alpha = \phi(x^{\alpha_n}) = \phi(x^{\alpha_n - \beta} \cdot x^\beta) \in \phi(\overline{\alpha^{t(p^{ne} - 1)}} \cdot I) \subseteq I$$

as desired. □

Example 4.6. As in Example 2.3, consider $S = \mathbb{K}[\Lambda]$ where

$$\Lambda = \mathbb{N}^2 \setminus \{(k, 0) \mid k \text{ is odd}\}.$$

Assume $\text{char } \mathbb{K} = p = 3$ and $e = 1$. Again, the gray dots in the following figure indicate the points a with ϕ_a not regular.



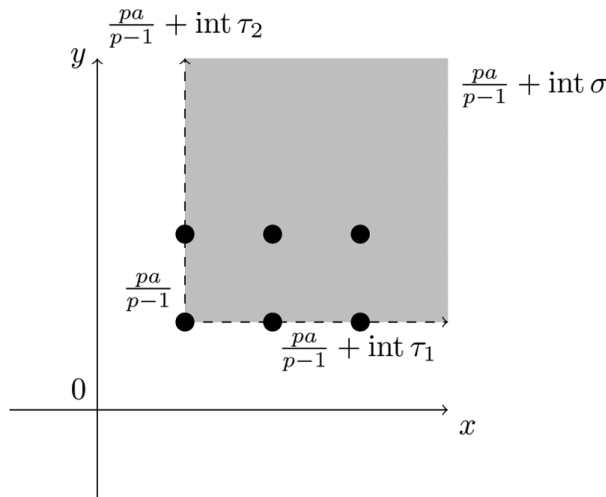
Consider $a = (\frac{2}{3}, \frac{2}{3})$. Then $\frac{pa}{p-1} = (1, 1)$ and

$$G = \bigcup_{\tau \subseteq \sigma} G_\tau = \{(1, 1), (1, 2), (2, 1), (3, 1), (2, 2), (3, 2)\}.$$

The following figure illustrates the points in G as well as the sets $\frac{pa}{p-1} + \text{int } \tau$ for $\tau \subseteq \sigma$.

By Theorem 1.1, the nontrivial fixed ideals are as follows:

$$\begin{aligned} J_{(1,1)} &= \langle xy \rangle, J_{(2,1)} = \langle x^2y \rangle, J_{(3,1)} = \langle x^3y, x^2y^2 \rangle, \\ J_{(1,2)} &= \langle xy^2, x^2y^2 \rangle, J_{(2,2)} = J_{(3,2)} = \langle x^2y^2, x^3y^2 \rangle = \text{smallest}, \\ J_{(1,1)} + J_{(2,1)} &= \langle xy, x^2y \rangle = \text{largest}, \\ J_{(2,1)} + J_{(3,1)} &= \langle x^2y, x^3y \rangle, \\ J_{(2,1)} + J_{(1,2)} &= \langle x^2y, xy^2 \rangle, \\ J_{(1,2)} + J_{(3,1)} &= \langle xy^2, x^2y^2, x^3y \rangle, \\ J_{(2,1)} + J_{(3,1)} + J_{(1,2)} &= \langle x^2y, x^3y, xy^2 \rangle. \end{aligned}$$



Observe that since $\tau_1 \cap (\tilde{\Lambda} \setminus \Lambda) \neq \emptyset$, the generators in G_{τ_1} produce multiple fixed ideals, $J_{(2,1)}$ and $J_{(3,1)}$, whereas the generators in G_σ produce only one fixed ideal, $J_{(2,2)} = J_{(3,2)}$.

Similarly, one can easily verify the following table.

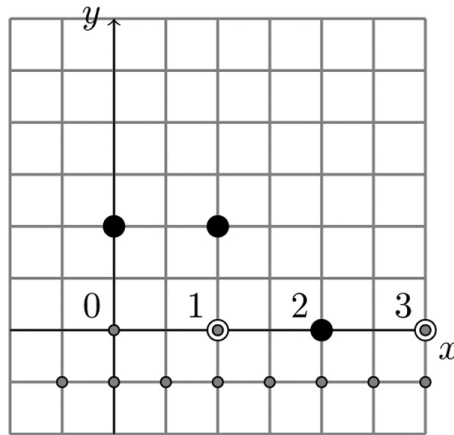
a	$\frac{pa}{p-1}$	Nontrivial ϕ_a fixed ideals
$(\frac{1}{2}, \frac{1}{2})$	$(\frac{1}{2}, \frac{1}{2})$	$\langle xy, x^2y \rangle$
$(\frac{2}{3}, \frac{1}{3})$	$(1, \frac{1}{2})$	$\langle xy, x^2y \rangle, \langle x^2y, x^3y \rangle$
$(\frac{1}{2}, \frac{2}{3})$	$(\frac{1}{2}, 1)$	$\langle xy, x^2y \rangle, \langle xy^2, x^2y^2 \rangle$
$(\frac{2}{3}, 0)$	$(1, 0)$	$\langle x^2 \rangle, \langle xy, x^2y \rangle, \langle x^2y, x^3y \rangle$

In the following examples, we will see that many conclusions in this section are false for non- F -split toric algebras.

Example 4.7. Again, by Example 2.3 the toric algebra in characteristic $p = 2$ generated by $\Lambda = \mathbb{N}^2 \setminus \{(k, 0) \mid k \text{ is odd}\}$ is not F -split. By Proposition 3.1, the set

$$\left\{ (u, v) \in \frac{1}{2}M \mid u > -1, v > 0 \right\} \cup \left\{ \left(u - \frac{1}{2}, 0\right) \mid u \geq 0 \right\}$$

consists of all a with ϕ_a regular. Notice that in the following figure the points $(2k, 0) \in \Lambda, k \geq 0$, are corresponding to nonregular maps. This does not happen for F -split toric algebras.



We consider the following cases.

- (1) $a = (-\frac{1}{2}, 0), \frac{pa}{p-1} = (-1, 0)$. Observe that $x^{2k} \notin \phi_a(S)$ for all $k \geq 0$. In particular, as a generator in G , x^{2k} does not produce any fixed ideals. Also, even though $\Lambda \subseteq \frac{pa}{p-1} + \sigma$, the toric algebra S is not fixed by ϕ_a . The only nontrivial ϕ_a -fixed ideal is $\langle y, xy \rangle$.
- (2) $a = (\frac{1}{2}, 0), \frac{pa}{p-1} = (1, 0)$. Again, $x^{2k} \notin \phi_a(S)$ for all $k \geq 0$ and the only nontrivial ϕ_a -fixed ideals are $\langle xy, x^2y \rangle, \langle x^2y, x^3y \rangle$.
- (3) $a = (-\frac{1}{2}, 1), \frac{pa}{p-1} = (-1, 2)$. The set of generators is $G = \{(0, 2), (1, 2), (0, 3), (1, 3)\}$. One can easily check that $J_{(1,2)} = \langle y, xy^2 \rangle$ and $J_{(0,3)} = J_{(1,3)} = \langle y^3, xy^3 \rangle$ are ϕ_a -fixed ideals, but $J_{(0,2)} = \langle y^2 \rangle$ is not fixed by ϕ_a .

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