

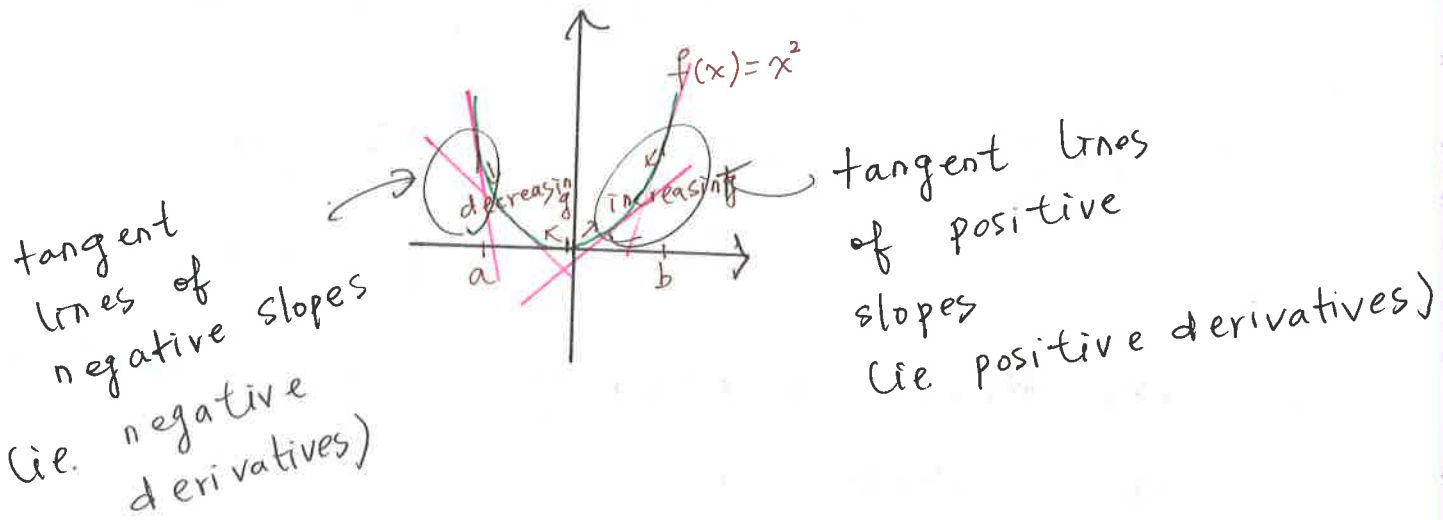
# \* Increasing and Decreasing Functions.

(Monotonic Functions)

**Defn** A function  $f: I \rightarrow \mathbb{R}$  is

increasing if  $x_1 < x_2 \Rightarrow f(x_1) < f(x_2)$

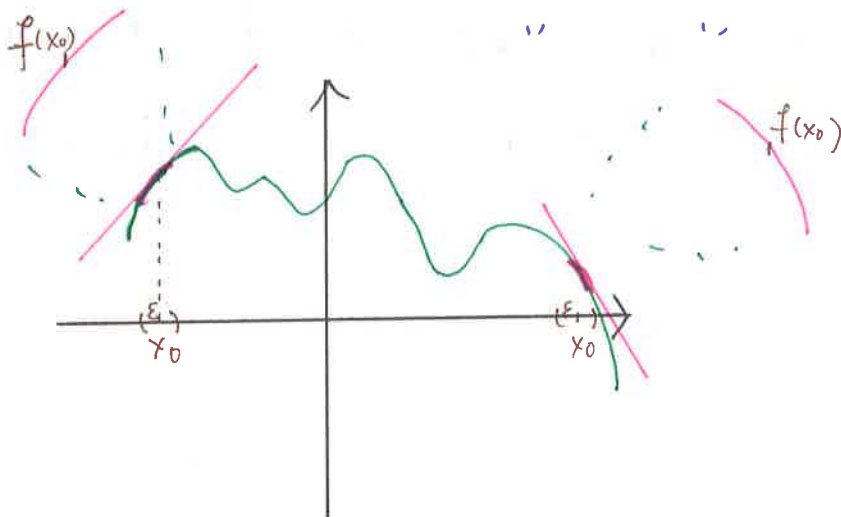
decreasing if  $x_1 < x_2 \Rightarrow f(x_1) > f(x_2)$



Verify the above facts more carefully. :

If  $f'(x_0) > 0$ , then there is  $\epsilon > 0$ , s.t.  $f$  is increasing on  $(x_0 - \epsilon, x_0 + \epsilon)$ , i.e.  $f(x_0 - \epsilon') < f(x_0) < f(x_0 + \epsilon')$  for all  $0 < \epsilon' < \epsilon$  . . (\*)

If  $f'(x_0) < 0$ , " " " " " decreasing "  $f(x_0 - \epsilon') > f(x_0) > f(x_0 + \epsilon')$  . . (\*\*)



In deed,

$$\frac{1}{\varepsilon} [f(x_0 + \varepsilon) - f(x_0)] \rightarrow f'(x_0) \quad \text{as } \varepsilon \rightarrow 0$$

$$\frac{-1}{\varepsilon} [f(x_0 - \varepsilon) - f(x_0)] \rightarrow f'(x_0)$$

$$\therefore \text{ if } f'(x_0) > 0 \Rightarrow \frac{1}{\varepsilon} [f(x_0 + \varepsilon) - f(x_0)] > 0$$

$$\Rightarrow f(x_0 + \varepsilon) - f(x_0) > 0$$

$$\text{AND } \Rightarrow -\frac{1}{\varepsilon} [f(x_0 - \varepsilon) - f(x_0)] > 0$$

$$\Rightarrow f(x_0 - \varepsilon) - f(x_0) < 0$$

are true eventually (ie  $\varepsilon$  small enough)

this shows  $\otimes$

(similarly for  $\otimes$ )

$\therefore$  if  $f' >$  (or  $<$ )  $0$  on  $I$ , subdividing  $I$  into small enough intervals (finitely many) we conclude.

**Thm.** For  $I = [a, b]$  and a function diff. on  $I$ ,

if  $f' > 0$  on  $(a, b) \Rightarrow f$  increasing on  $I$

$f' < 0$  "  $(a, b) \Rightarrow$  " decreasing " "

What if  $f'(x_0) = 0$  ?

③

slope of tangent line to  $y = f(x)$  is horizontal.

If  $f$  is neither increasing nor decreasing near  $x_0$ , there are two possibilities:

local maximum  
( $f'$  goes from  $+$  to  $-$ )

$f$  goes up ( $f' > 0$ )  
and then down ( $f' < 0$ )

local minimum  
( $f'$  goes from  $+$  to  $-$ )

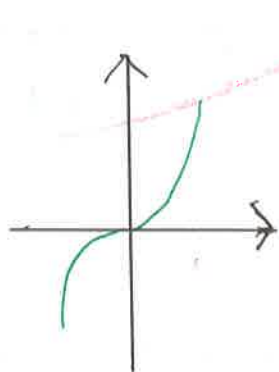
$f$  goes down ( $f' < 0$ )  
and then up ( $f' > 0$ )

Since  $f'$  is continuous (CHECK)  $\Rightarrow f'(x_0) = 0$ .

Thm. If  $f$  diff., has local max & min at  $x_0 \Rightarrow f'(x_0) = 0$ .

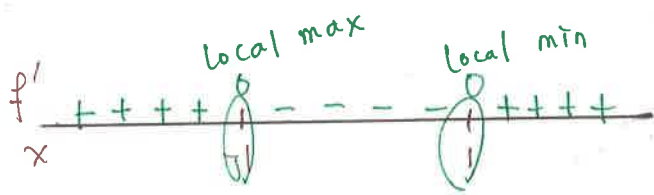
But  $f'(x_0) = 0$  doesn't tell anything!

eg.  $f(x) = x^3$  &  $f'(0) = 0$  But  $f$  is increasing at  $x=0$



eg 11  $f(x) = x^3 - 3x + 2$

$f'(x) = 3x^2 - 3 = 3(x+1)(x-1)$



positivity table

"critical points" where  $f' = 0$

$\therefore f$  decreasing on  $(-1, 1)$   
 increasing "  $(-\infty, -1)$  &  $(1, \infty)$

eg 11  $f(x) = x^3 + 2x$

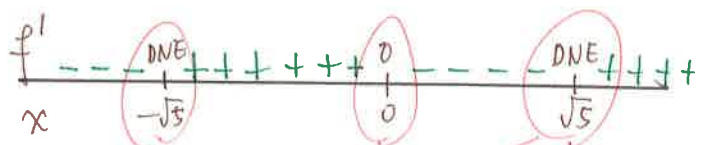
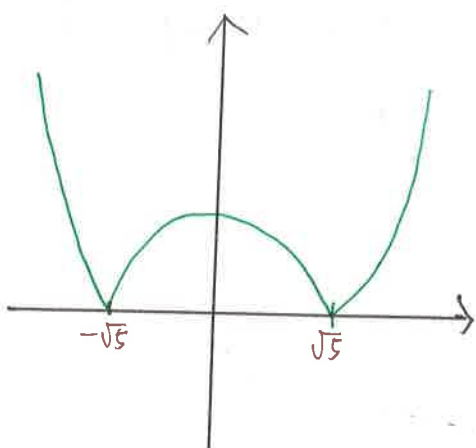
$f'(x) = 3x^2 + 2 > 0$  for all  $x$

$\Rightarrow f$  is increasing on all  $\mathbb{R}$   
 ( $\therefore$  no local max & min)

eg 11  $f(x) = |x^2 - 5| = \begin{cases} -(x+\sqrt{5})(x-\sqrt{5}) & ; x \in (-\sqrt{5}, \sqrt{5}) \\ (x+\sqrt{5})(x-\sqrt{5}) & ; x \in (-\infty, -\sqrt{5}) \\ & ; x \in (\sqrt{5}, \infty) \end{cases}$

$= \begin{cases} x^2 - 5 & ; x \notin [-\sqrt{5}, \sqrt{5}] \\ 5 - x^2 & ; x \in [-\sqrt{5}, \sqrt{5}] \end{cases}$

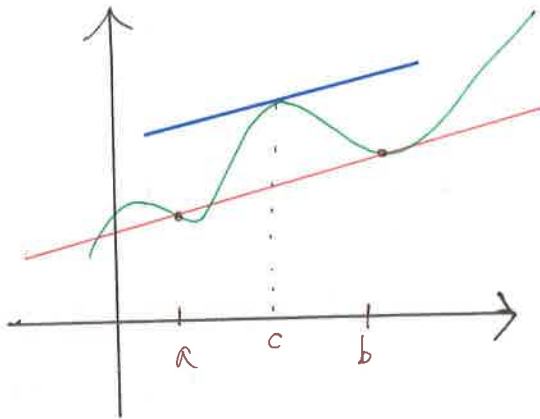
$f'(x) = \begin{cases} 2x & ; x \notin (-\sqrt{5}, \sqrt{5}) \\ -2x & ; x \in (-\sqrt{5}, \sqrt{5}) \end{cases}$



critical points

# V. Applications of Differentiations

Intuitive idea.



$f$  diff. on  $[a, b]$

then there is

$c \in (a, b)$  s.t.

$$f'(c) = \frac{f(b) - f(a)}{b - a}$$

average velocity from time  $x=a$  to  $x=b$

at some time b/w  $a$  &  $b$ , the particle will move as fast as the average velocity, otherwise velocity is always lower or higher than average (not possible!)

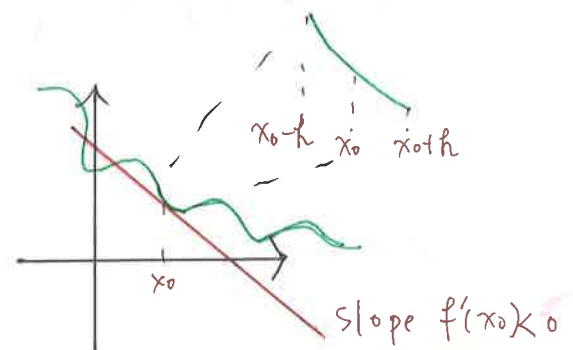
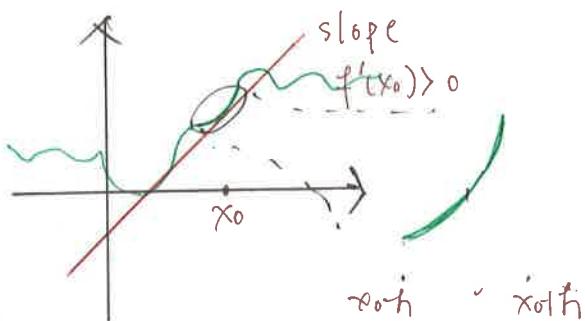
Take as granted: Continuous function attains a max & min on a closed interval.

Also clear:

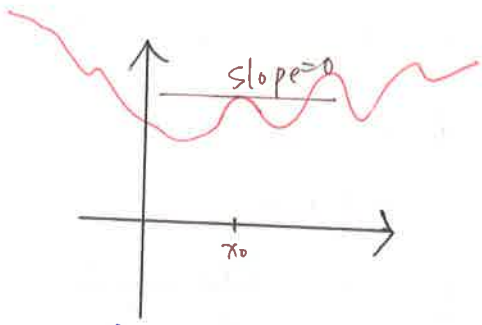
$f$  diff. at  $x_0$ , if

$f'(x_0) > 0$ , then  $f(x_0 - h) < f(x_0) < f(x_0 + h) \forall h$  small  
i.e.  $f$  is increasing on  $(x_0 - h, x_0 + h)$

$f'(x_0) < 0$ , then  $f(x_0 - h) > f(x_0) > f(x_0 + h) \forall h$  small  
i.e.  $f$  is decreasing on  $(x_0 - h, x_0 + h)$

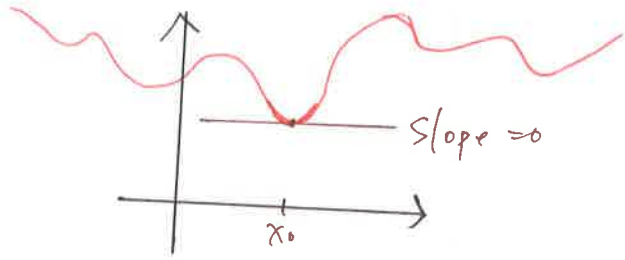


If  $f$  is not increasing nor decreasing near  $x_0 \Rightarrow f'(x_0) = 0$  (b)



$f(x_0)$  is a local maximum

$$f(x_0) \geq f(x_0+h) \quad \forall h$$



$f(x_0)$  is a local minimum

$$f(x_0) \leq f(x_0+h) \quad \forall h$$

(But the converse is not true!)  
eg,  $f(x) = x^3$

Thm, (Rolle's Theorem)

$f$  continuous on  $[a, b]$  and diff. on  $(a, b)$   
and  $f(a) = f(b) = 0 \Rightarrow \exists c \in (a, b)$  s.t.  $f'(c) = 0$

pf, if  $f$  constant  $\Rightarrow f' = 0$  on  $(a, b)$  & done.

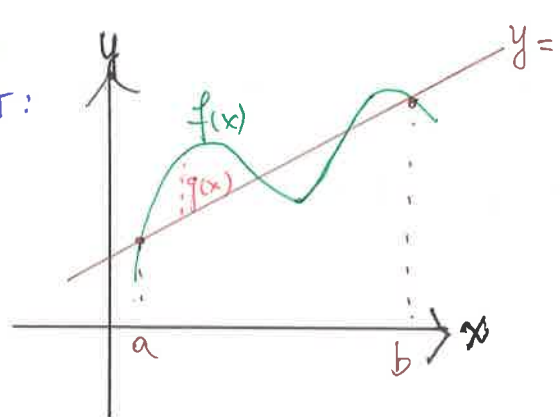
if not, by a classical thm., cont. function attains max and min on closed interval.

say  $f(c)$  is max  $\Rightarrow f(c) > 0 \Rightarrow c \neq a$  or  $b$ .

& since  $f(c)$  is max  $\Rightarrow$  it is a local max

$$\Rightarrow f'(c) = 0$$

Proof of  
MVT:



consider  $g(x) = f(x) - h(x)$

clearly  $g(a) = g(b) = 0$

$\therefore$  there is  $c$  in  $(a, b)$  s.t.  $g'(c) = 0$

But  $g'(x) = f'(x) - h'(x)$

$$= f'(x) - \frac{f(b) - f(a)}{b - a}$$

$$\Rightarrow g'(c) = f'(c) - \frac{f(b) - f(a)}{b - a} = 0 \quad *$$

eg<sup>11</sup> There is no diff. function w/  $f(0) = 2$ ,  $f(2) = 5$   
and  $f'(x) \leq 1$  for all  $x$  in  $(0, 2)$ .

Since  $\frac{f(2) - f(0)}{2 - 0} = \frac{5 - 2}{2} = \frac{3}{2} > 1$ , <sup>by MVT</sup> there must

be some  $c$  in  $(0, 2)$  s.t.  $f'(c) = \frac{3}{2}$ .

eg<sup>11</sup>  $f(x) = x^3 + 9x^2 + 33x - 8$  has exactly one root

for  $f(x)$  has a real root. (By Intermediate value thm)

but if there is more than one, say  $x_1 < x_2$

s.t.  $f(x_1) = f(x_2) = 0 \Rightarrow f'(c) = 0$  for some  $c$  in

$(x_1, x_2)$ . But  $f'(x) = 3x^2 + 18x + 33$  has no real root  $\leadsto$

Since  $18^2 - 4 \cdot 3 \cdot 33 < 0 \rightarrow \leftarrow$

(8)

$\therefore$  ONLY ONE REAL ROOT.



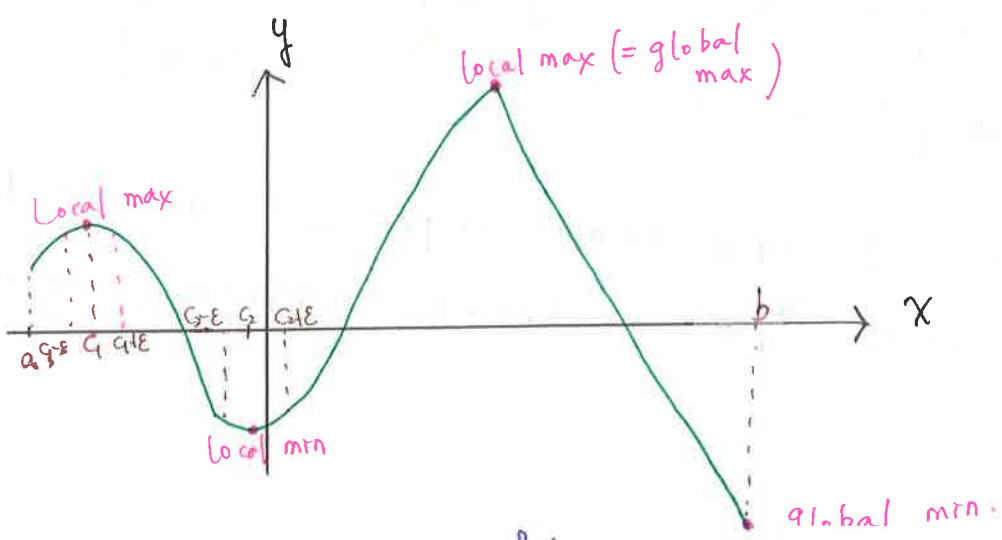
\* Extrema. (Extreme Values) suppose  $D' = \{x \in A \mid f'(x) \text{ DNE}\}$  is 9

$f: A \rightarrow \mathbb{R}$  is said to have an ...  
 maximum at  $c \in A$  if  $f(c) \geq f(x)$  for all  $x$  in  $A$   
 minimum at  $c \in A$  if  $f(c) \leq f(x)$  for all  $x$  in  $A$   
 (they're also called "global max/min." on the set  $A$ )

Assumption:  $f' = 0$  or DNE only finitely many times.  
 We look for max/min on  $A$  by listing all the "suspects" and compare the values of  $f$  at them.

1. Local extreme values.

$f$  has local max (min) at  $c$  if there is  $\epsilon > 0$  so that  $f(c)$  is global max (min) of  $(c-\epsilon, c+\epsilon)$ .



$A = [a, b]$

How to find <sup>classify</sup> local extrema!  
 10/29 Ends

if  $f$  differentiable, then  $x=c$  local max/min  $\Rightarrow f'(c)=0$

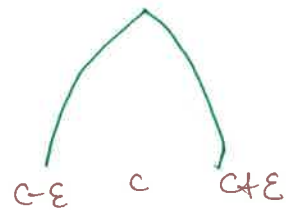
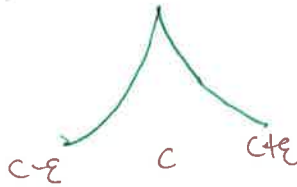
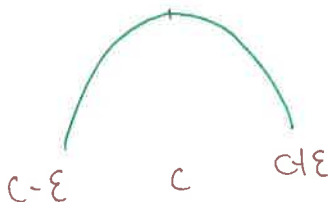
suspect list:  $\{c \mid f'(c)=0\} \cup \{c \mid f'(c) \text{ DNE}\}$   
critical points

$\{\text{local max/min}\} \subset \{\text{critical points}\}$

Next step: capture local max/min from suspects (10)

Recall:  $f(c)$  is local max (min) if  $f(c)$  is max (min) on  $(c-\epsilon, c+\epsilon)$ , i.e.  $f(x) \leq f(c)$  for all  $x$  in  $(c-\epsilon; c+\epsilon)$ , for some  $\epsilon > 0$ . Pick  $\epsilon$  small enough so that  $f'$  don't change sign on  $(c-\epsilon, c)$  &  $(c, c+\epsilon)$  (i.e. either increasing/decreasing on either half)

$\therefore$  if  $f(c)$  is local max



$f(c) \geq f(x)$  For all  $x$  in  $(c-\epsilon, c)$   
 $\Leftrightarrow f$  increasing on  $(c-\epsilon, c)$   
 $\Leftrightarrow f' > 0$

$f(c) \geq f(x)$  - For all  $x$  in  $(c, c+\epsilon)$   
 $\Leftrightarrow f$  decreasing on  $(c, c+\epsilon)$   
 $\Leftrightarrow f' < 0$

$\therefore$  at local max,

$f'$  switches from + to - across  $c$

Similarly,

at local min,

$f'$

"

"

- to +

"

"

Do Example on 1st p4

This is called First derivative test

eg 11

$$f(x) = \frac{1}{2}x^2 + \frac{1}{x}$$

$$f'(x) = x - \frac{1}{x^2} = \frac{x^3 - 1}{x^2}$$

critical points:  $f'(x) = 0$  at  $x = 1$   
 $f'$  DNE at  $x = 0$



as  $f \rightarrow \infty$  as  $x \rightarrow \pm \infty$   
 No local max

$$f(1) = \frac{3}{2}$$

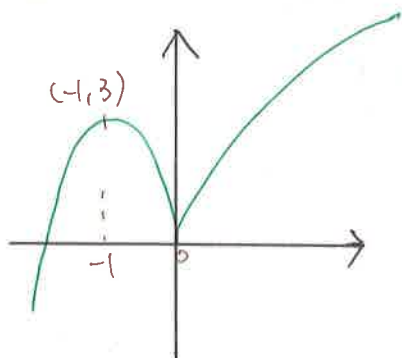
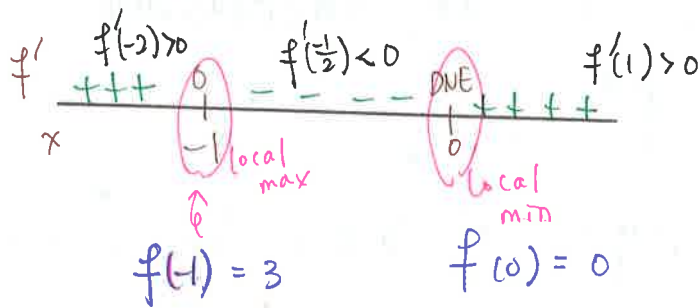
Note: if all zeros of  $f'$  are listed,  
 to determine  $f' > 0$  or  $f' < 0$  b/w zeros,  
 we only need to plug in one point

eg 11

$$f(x) = 2x^{\frac{5}{3}} + 5x^{\frac{2}{3}}$$

$$f'(x) = \frac{10}{3}x^{-\frac{1}{3}}(x+1) = \frac{10(x+1)}{\sqrt[3]{x}} \quad ; x \neq 0$$

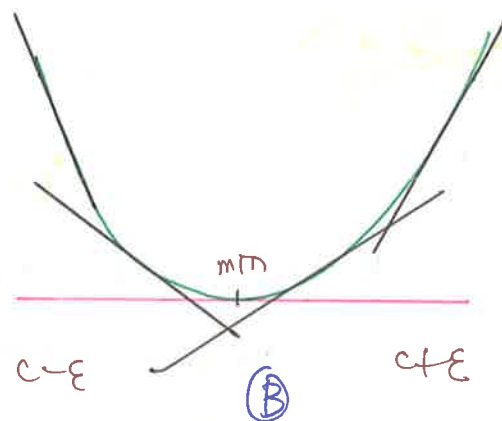
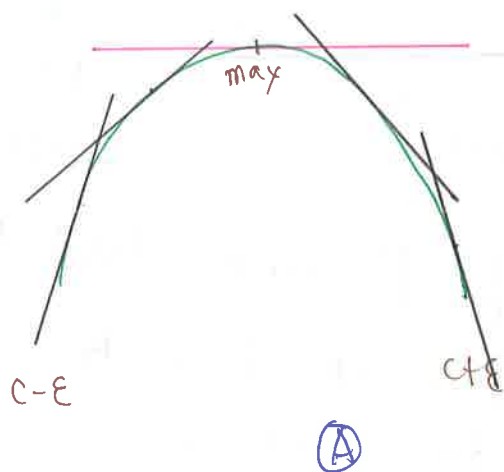
critical pt:  
 $x = 0$  &  $x = -1$



For  $f \in C^2$  function, we don't have suspect like (12)



only



Observe, slopes of tangent <sup>lines</sup> in A ( $f'$ ) is  
increasing ( $+ \text{big} \rightarrow + \text{small} \rightarrow 0 \rightarrow - \text{small} \rightarrow - \text{big}$ )  
ie  $f'$  is an decreasing function on  $(c-\epsilon, c+\epsilon)$   
 $\Rightarrow f'' < 0$

Similarly,  $f'' > 0$  on  $(c-\epsilon, c+\epsilon)$  in (B)

This method is called the

Second derivative test.

eg.  $f(x) = x^3 + 2x^2 + x$  ( $C^\infty$  function) (13)

$$f'(x) = 3x^2 + 4x + 1 = (3x+1)(x+1)$$

$$f''(x) = 6x + 4$$

$f' = 0$  at  $x = -\frac{1}{3}$  &  $-1$ .  
crit. points.

$$f''(-\frac{1}{3}) = -2 + 4 = 2 > 0 \quad \therefore$$

$$f(-\frac{1}{3}) = -\frac{1}{27} + \frac{2}{9} - \frac{1}{3} = \frac{-1+6-9}{27}$$

$$f''(-1) = -6 + 4 < 0$$

$$= \frac{-4}{27}$$

$\therefore$  local min.

$$f(-1) = -1 + 2 - 1 = 0$$

$\therefore$  local max.

//

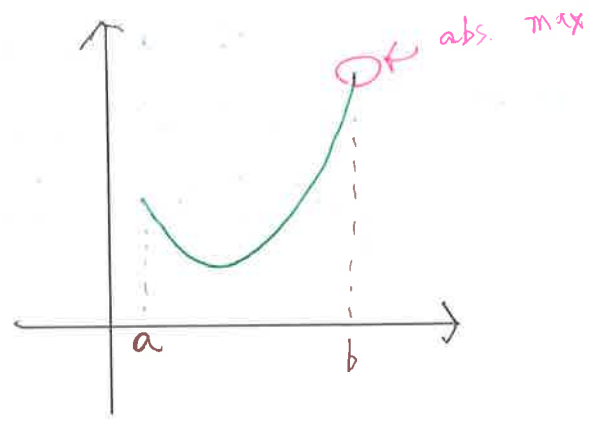
Continue the search toward global (absolute) extreme values ... (Principle: Find all suspects & compare values of  $f$  at each of them) (14)

Suspects for absolute extremas.   
 certainly, local extremas are possible absolute extremas.   
 what else?

consider  $f: [a, b] \rightarrow \mathbb{R}$  (Recall, there has to be max/min if  $f$  continuous)

We know all the suspects in  $(a, b)$  are  $\{c \mid f'(c) = 0\} \cup \{c \mid f'(c) \text{ DNE}\} = \text{crit}(f)$

The only points we're not sure are  $x=a$  &  $b$ .   
  $\therefore$  add them to suspect list and we've included all possibilities.



eg,  $f: [-1, 3] \rightarrow \mathbb{R}$

$f(x) = 1 + 4x^2 - \frac{1}{3}x^3$  ( $f'$  exists everywhere)

$f'(x) = 8x - 2x^2 = 2x(2-x)(2+x) = 0$  when  $x=0, 2, -2$

critical pts:  $\{0, 2\}$  not in  $[-1, 3]$

$\therefore$  suspect list  $\{0, 2, -1, 3\}$

$f(0) = 1$ ,  $f(2) = 9$ ,   
 abs. max

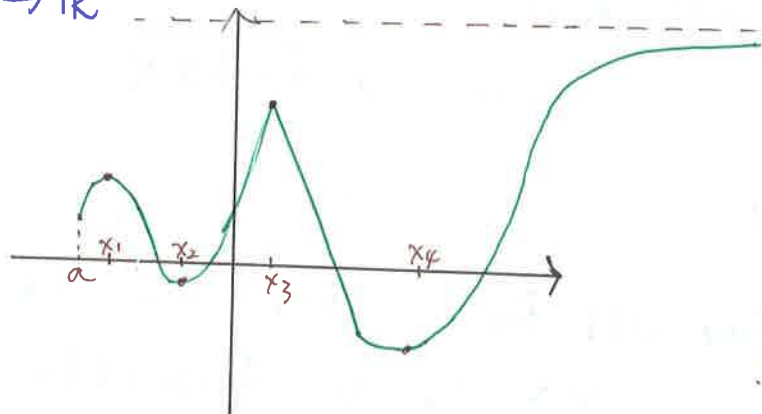
$f(-1) = \frac{9}{2}$ ,  $f(3) = -\frac{7}{3}$ ,   
 abs. min.

What about unbounded domain?

(15)

$f: A \rightarrow \mathbb{R}$  where  $A$  is not contained in any interval.  
 $\therefore$  either  $x \rightarrow \infty$ , or  $-\infty$  (or both)

$f: [a, \infty) \rightarrow \mathbb{R}$

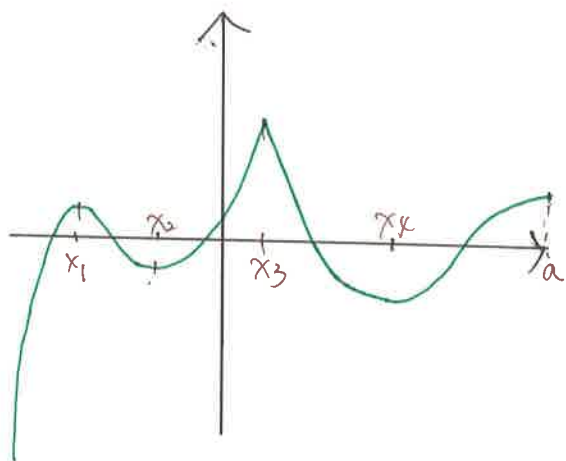


$$\lim_{x \rightarrow \infty} f(x) = M$$

$$> f(x_1), f(x_2), f(x_3), f(x_4), f(a)$$

$\therefore$  No abs. max.

$f: (-\infty, a] \rightarrow \mathbb{R}$



$$\lim_{x \rightarrow -\infty} f(x) = -\infty$$

$$< f(x_1), \dots, f(x_4), f(a)$$

$\therefore$  No abs. min

Conclusion:

if  $\lim_{x \rightarrow +\infty} f(x)$  (or  $\lim_{x \rightarrow -\infty} f(x)$ ) =  $M$  (maybe  $\infty$ ) so that

$M < f(x)$  for all crit. pts  $x \Rightarrow$  no abs. min

$M > f(x)$  " " " "  $x \Rightarrow$  no abs. max.

$\therefore$  if  $M = +\infty \Rightarrow$  no abs. max  
 $-\infty \Rightarrow$  no abs. min





Conclusion for extrema hunting: of  $f: A \rightarrow \mathbb{R}$ .

(17)

1. Find critical points

Sometimes tricky to find  $\rightarrow$

$$\text{crit}(f) = \{x \in A \mid f'(x) = 0 \text{ or } f'(x) \text{ DNE}\}$$
$$= \{x_1, \dots, x_n\}$$

*near, initial assumption*

2. Suspect Lists =  $\text{crit}(f) \cup \{\text{end points of each interval}\}$

3. if  $A$  unbounded, find  $\lim_{x \rightarrow \infty} f(x) = L_1$  &  $\lim_{x \rightarrow -\infty} f(x) = L_2$  (or both)

4. compare  $f(x)$  for each  $x \in \text{crit}(f)$

$$\text{let } M = \max \{f(x_1), \dots, f(x_n)\} \stackrel{\text{say}}{=} f(x_i)$$

$$m = \min \{f(x_1), \dots, f(x_n)\} \stackrel{\text{say}}{=} f(x_j)$$

$M > L_1$  AND  $L_2$  ?  $\left\{ \begin{array}{l} \text{YES} \rightarrow f(x_i) = M \text{ abs. max} \\ \text{NO} \rightarrow \text{No abs. max} \end{array} \right.$

$m < L_1$  AND  $L_2$  ?  $\left\{ \begin{array}{l} \text{YES} \rightarrow f(x_j) = m \text{ abs min} \\ \text{NO} \rightarrow \text{No abs min.} \end{array} \right.$

---

If asked to classify critical points, use 1st derivative test (or second derivative test when  $f$  is  $C^2$ )

eg 11  $f(x) = x^3 - 2x^2 + 7x \quad = (-\infty, \infty) \rightarrow \mathbb{R}$

$\lim_{x \rightarrow +\infty} f(x) = +\infty$   
 no  $\downarrow$  abs. max

$\lim_{x \rightarrow -\infty} f(x) = -\infty$   
 no  $\downarrow$  abs. min

eg 11  $f(x) = \begin{cases} -x^2 & ; 0 \leq x < 1 \\ -x & ; 1 \leq x < 2 \\ -\frac{1}{2}x^2 & ; 2 \leq x < \infty \end{cases} \quad : [0, \infty) \rightarrow \mathbb{R}$

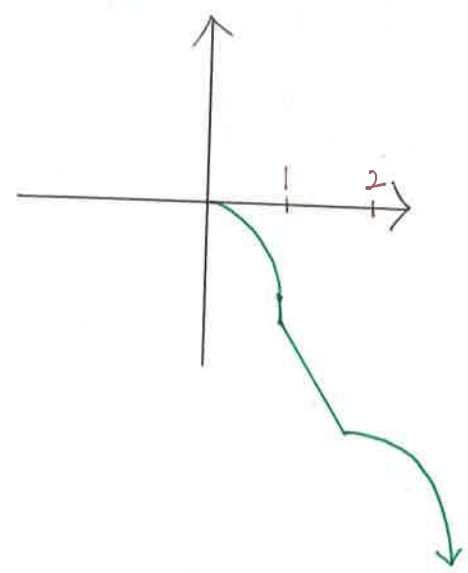
$\lim_{x \rightarrow \infty} f(x) = -\infty \Rightarrow$  no abs. min.

critical pts :  $f'(x) = \begin{cases} -2x & ; 0 < x < 1 \\ -1 & ; 1 < x < 2 \\ -x & ; 2 < x < \infty \end{cases}$

$f' \neq 0$  anywhere.  $f'$  exists everywhere.

$\therefore$  only need to look for abs. max from endpoints  $\{0, 1, 2\}$

$f(0) = 0, f(1) = -1, f(2) = -2$   
 $\uparrow$   
 abs. max.



eg<sup>11</sup>  $f(x) = \sin^2 x - \sqrt{3} \cos x$  ;  $x \in [0, \pi]$

Find extreme values & classify  $\text{crit}(f)$ .

$f'(x) = 2 \sin x \cos x + \sqrt{3} \sin x = \sin x (2 \cos x + \sqrt{3})$

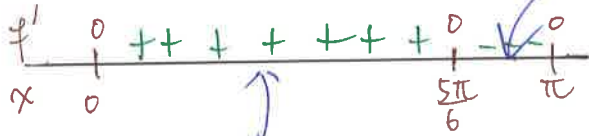
(exists everywhere)

$f' = 0$  when  $\sin x = 0$  OR  $\cos x = -\frac{\sqrt{3}}{2}$

$\therefore \text{crit}(f) = \{0, \pi, \frac{5\pi}{6}\}$

take  $x$  very close to  $\pi$  (but  $<$ )

Classification:



$\sin x > 0$  &  $\cos x \rightarrow -1 \Rightarrow f' < 0$

$f'(\frac{\pi}{2}) = 1(0 + \sqrt{3}) > 0$

$\therefore f(\frac{5\pi}{6}) = \frac{1}{4} + \sqrt{3} \cdot \frac{\sqrt{3}}{2} = \frac{10}{4}$  is local max.

( may also try  $f'' = 2 \cos(2x) + \sqrt{3} \cos x$

$f''(\frac{5\pi}{6}) = 2 \cos(\frac{5\pi}{3}) + \sqrt{3} \cos \frac{5\pi}{6} < 0 \Rightarrow$  local max)

Extrema: suspect list =  $\{0, \frac{5\pi}{6}, \pi\}$

$f(0) = -\sqrt{3}$

↑  
abs. min

$f(\frac{5\pi}{6}) = \frac{10}{4}$

↓  
abs. max

$f(\pi) = \sqrt{3}$



# \* Concavity - Geometric Meaning of $f''$

Recall:  $f' \leftrightarrow y = f(x)$  going up or down.

What geometric info. does  $f''$  carry?

Say,  $f' > 0$ , it can increase

like



or



- keep turning Left
  - increase faster & faster
  - slope of tangent line gets larger & larger (ie.  $f'$  increasing)
- $f'' > 0$  (if  $f$  is  $C^2$ )

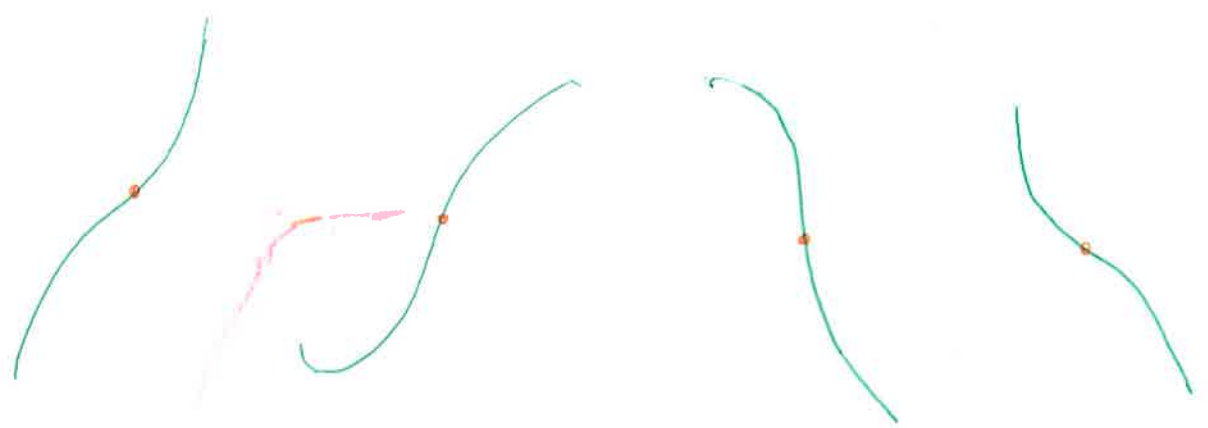
- keep turning right.
  - increase slower & slower.
  - slope of tangent lines gets smaller & smaller (if  $f'$  decreasing)
- $f'' < 0$  (if  $f$  is  $C^2$ )
- $\rightarrow f$  concaves down near  $x_0$ .

$\rightarrow f$  "concaves up" near  $x_0$

## Def. (Point of Inflection)

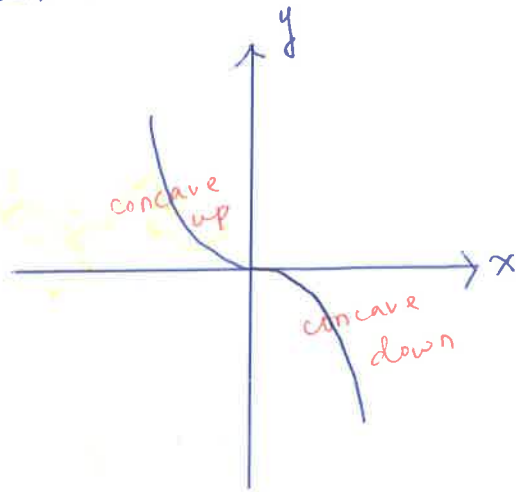
$x_0$  is called a point of inflection if concavities of  $f$  on  $(x_0 - \epsilon, x_0)$  and  $(x_0, x_0 + \epsilon)$  are opposite.

If  $f$  is  $C^2$ ,  $x_0$  is point of inflection  $\Leftrightarrow f''(x_0) = 0$ .



Other possibilities of point of inflection:

$$f(x) = \begin{cases} x^2; & x < 0 \\ -x^3; & x > 0 \end{cases} \Rightarrow f''(0) \text{ DNE}$$



$$f'(x) = \begin{cases} 2x; & x < 0 \\ -3x^2; & x > 0 \end{cases}$$

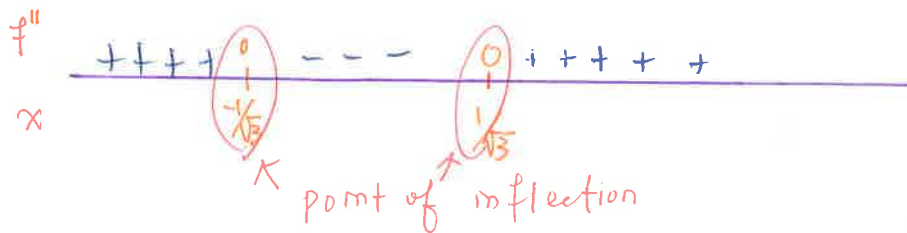
Annotations: "Increasing" above the first case, "decreasing" below the second case. Arrows indicate the direction of the derivative's sign change.

$$f''(x) = \begin{cases} 2 > 0; & x < 0 \\ -6x < 0; & x > 0 \end{cases}$$

But  $f''(0)$  DNE

Suspect for Point of Inflection  
 $= \{ c \mid f''(c) = 0 \} \cup \{ c \mid f''(c) \text{ DNE} \}$

eg.  $f(x) = \frac{1}{4}x^4 - \frac{1}{2}x^2$   
 $f'(x) = x^3 - x$  ,  $f''(x) = 3x^2 - 1$



# \* Curve Sketching

Plot down points  $(x, y)$  where  $y = f(x)$  with  $x$  in the domain.

• Precisely understood with lines (just plot two points and connect)

• "Somehow" understood with  $y = (x-a)^2 + b$ .

• No systematic ways for more general functions

→ Gather geometric info of  $y = f(x)$ , and reflect all of them on the graph as much as we can.

eg<sup>11</sup>  $f(x) = x^4 - 4x^3 + 4x^2, -1 \leq x < 5$

Domain: all  $x$  in  $[-1, 5)$

Intercepts:

$f(0) = 0$ :

$f(x) = 0$  at  $x = 0, 2$

(graph contains  $(0, 0), (2, 0)$ )

$f(-1) = 9, f(5) = 125$

Monotonicity

$f'(x) = 4x^3 - 12x^2 + 8x = x(4x^2 - 12x + 8)$

$f' = 0$  at  $0, 1, 2$

## Geometric Insights / General Strategies

• Determine when  $\frac{xy}{0}, \sqrt{0}$  occur. (or any domain by assumption)

• Plug in a few convenient points, usually  $(0, f(0))$  <sup>y-intercept</sup> and  $(x_0, 0)$  <sup>x-intercept</sup> where  $f(x_0) = 0$  and (end pts,  $f(\text{end pts})$ )

• whether  $y = f(x)$  rises / falls and whether  $f$  arrives at peak / bottom ... determine the nature of crit. points



# Concavity

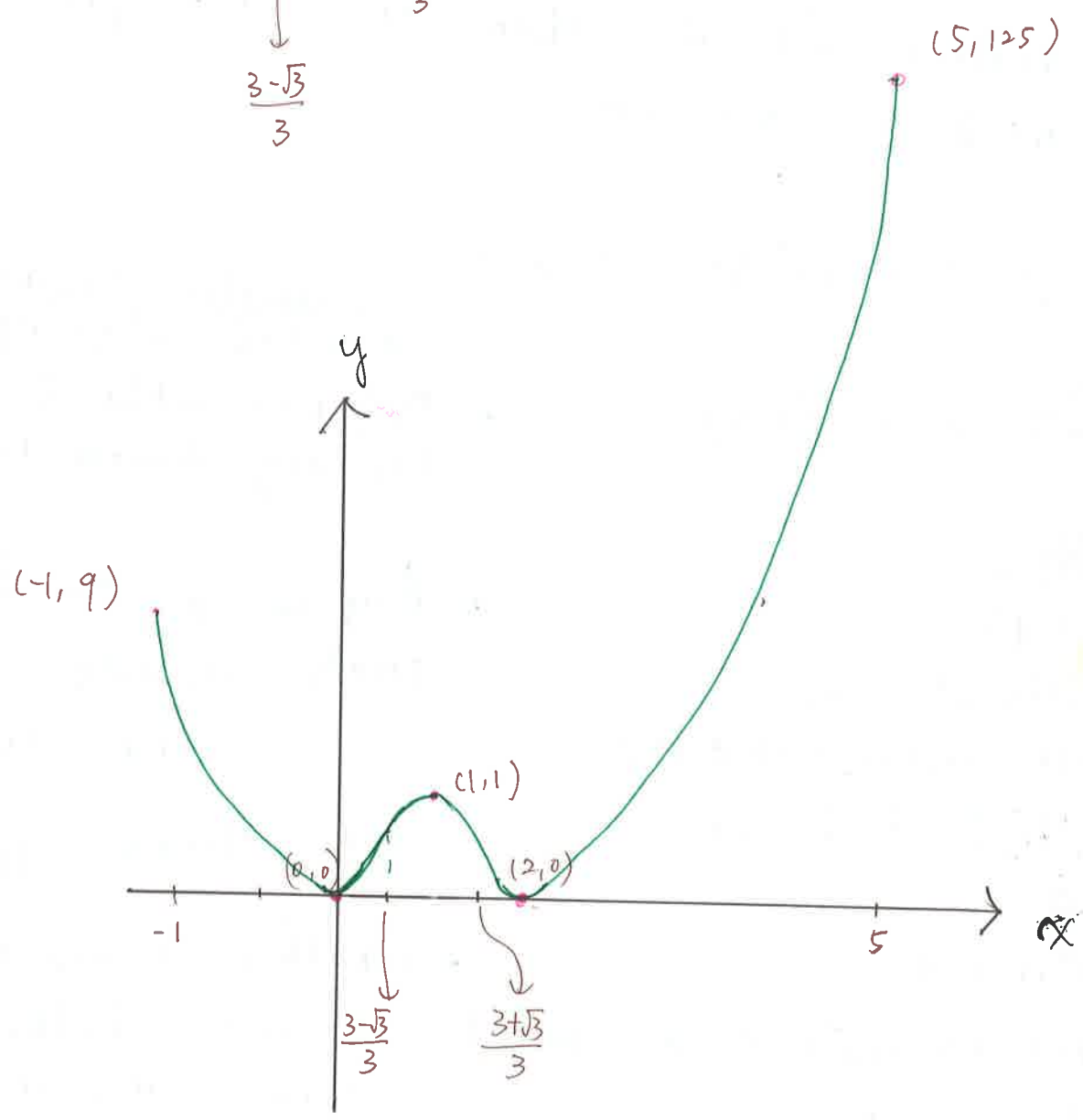
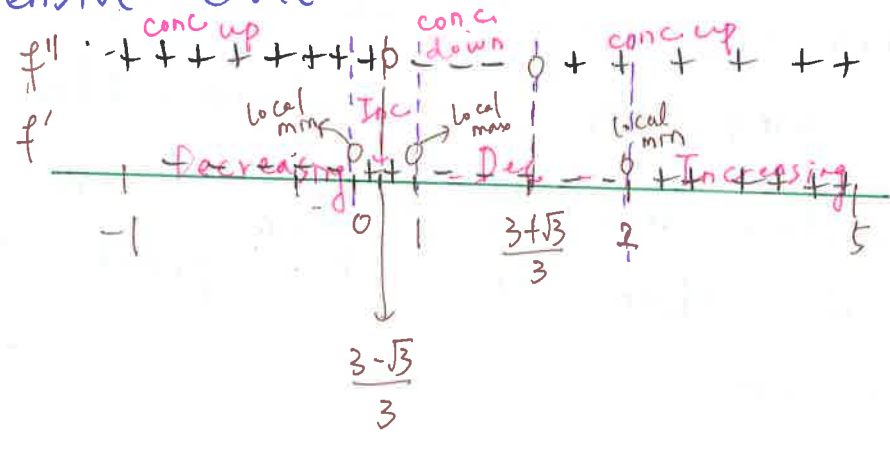
$$f''(x) = 12x^2 - 24x + 8$$

$$f'' = 0 \text{ at } x = \frac{3 \pm \sqrt{3}}{3}$$

- How the curve increases/decreases (turning right/left?)
- determine signs of  $f''$  across point of inflection

can be done together

## Comprehensive table:



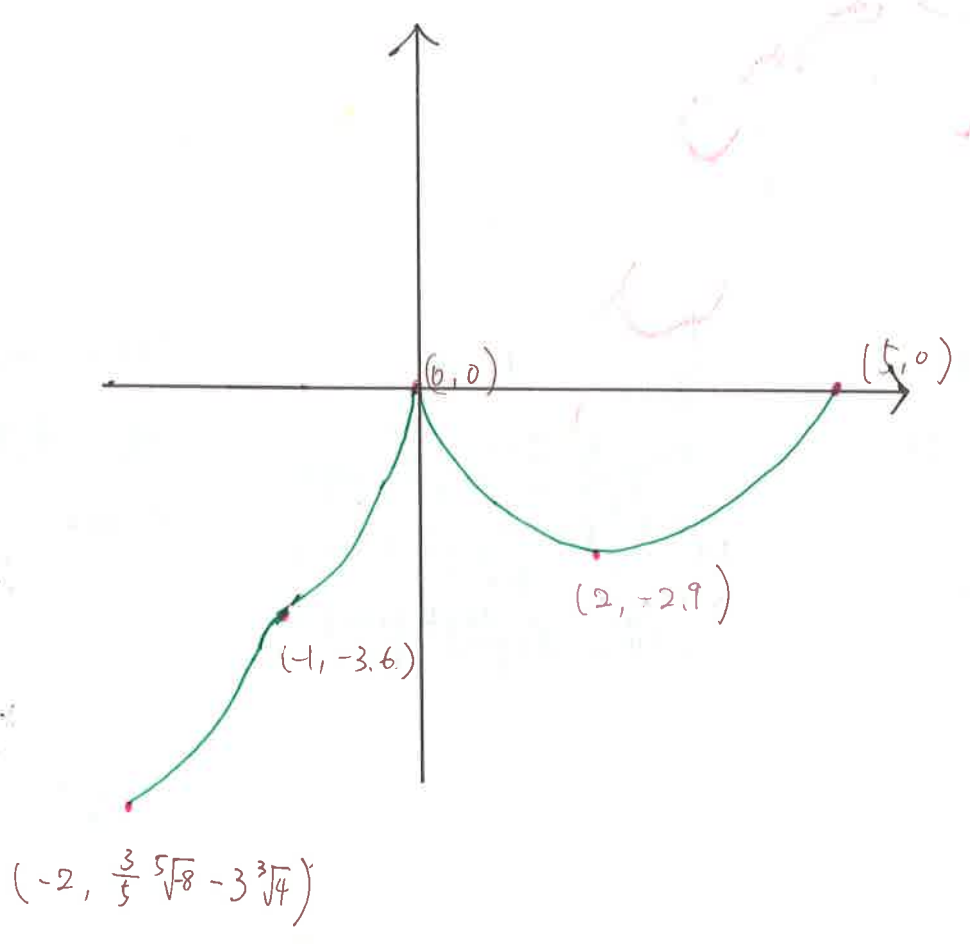
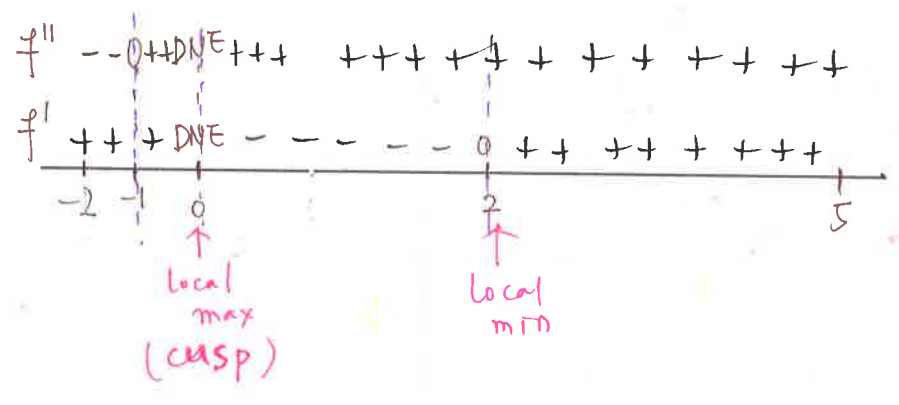


eg<sup>11</sup>  $f(x) = \frac{3}{5}x^{\frac{3}{5}} - 3x^{\frac{2}{3}}$  ;  $x \in [-1, 5]$

$f'(x) = \frac{x-2}{x^{1/3}}$  ;  $f' = 0$  at 2 & DNE at 0

$f''(x) = \frac{2}{3}x^{-\frac{4}{3}}(x+1)$  ;  $f'' = 0$  at  $x = -1$ . DNE at  $x = 0$

$f(0) = 0$  and  $f(5) = 0$



# Dealing with $\infty$ :

Situations not discussed above:

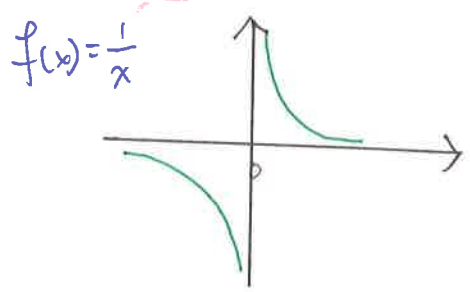
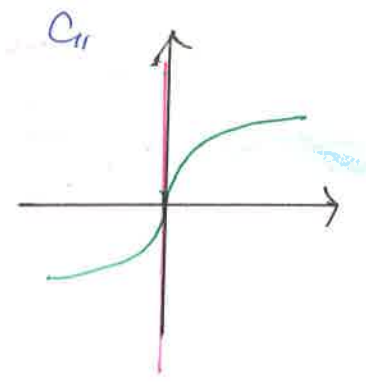
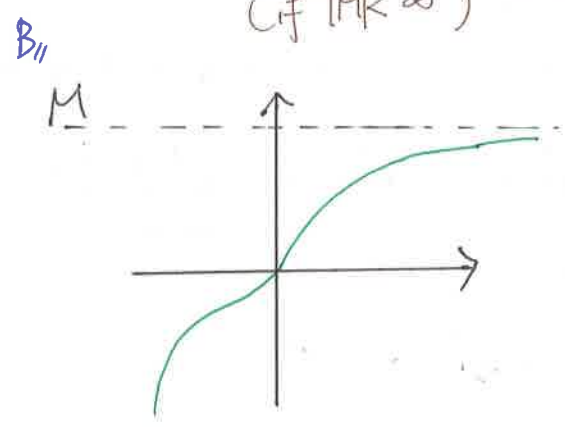
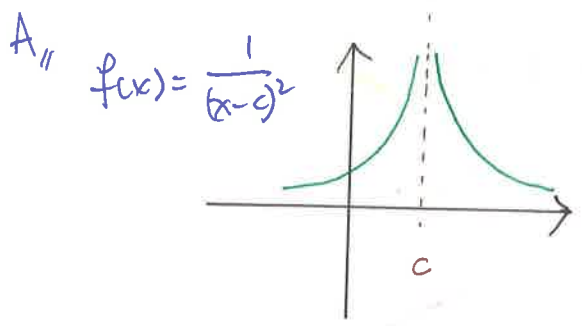
$$\lim_{x \rightarrow c} f(x) = \pm\infty ; \quad \lim_{x \rightarrow \pm\infty} f(x) = M ; \quad \lim_{x \rightarrow c} f' = \pm\infty$$

(see p. 15-16 for definitions)

Vertical Asymptotes

Horizontal Asymptotes (if  $M \neq \infty$ )

Vertical Tangent Lines



Common Occasions

①  $\frac{\infty \times \infty}{0}$  at some finite  $x$ .

② 0 inside log

$\frac{P(x)}{Q(x)}$  where

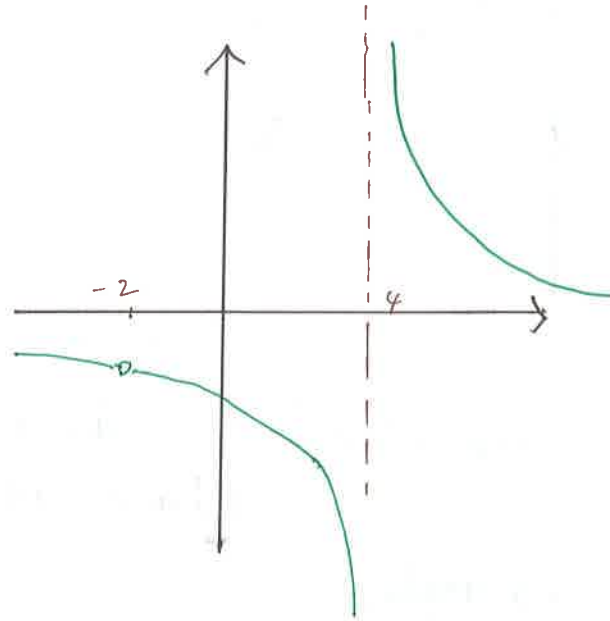
$$M \begin{cases} D; & \text{if } \deg Q > \deg P \\ \# & \text{if } \deg Q = \deg P \\ \infty & \text{if } \deg Q < \deg P \end{cases}$$

$f(x)$  w/  $f'$  of type A

eg 11 (A)  $f(x) = \frac{3x+6}{x^2-2x-8} = \frac{3(x+2)}{(x+2)(x-4)} \left( \begin{array}{l} \text{for all } x \\ \neq -2 \end{array} \right)$

$$\lim_{x \rightarrow 2} f(x) = \frac{3}{-2}$$

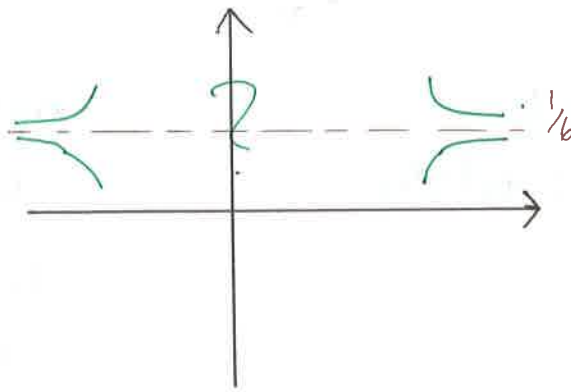
$$\lim_{x \rightarrow 4^+} f(x) = -\infty$$



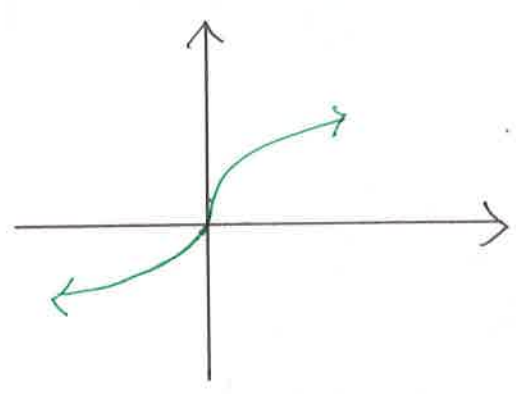
(B)  $f(x) = \frac{x^2 + 4x + 3}{6x^2 - 18x + 9}$

$$\lim_{x \rightarrow \infty} f(x) = \frac{1 + 4/x + 3/x^2}{6 - 18/x + 9/x^2} = \frac{1}{6}$$

(only need to compute  $\lim_{x \rightarrow \pm\infty} \frac{\text{leading term}}{\text{leading term}}$ )



(c)  $f(x) = x^{1/3}$  ;  $\lim_{x \rightarrow 0^-} f'(x) = -\infty$  &  $\lim_{x \rightarrow 0^+} f'(x) = +\infty$



More qualitative properties to observe: [time saving!]

Properties

Parity

$f$  is even  
odd  
if  $f(x) = \begin{cases} f(x) \\ -f(x) \end{cases}$

examples

$f(x) = \begin{cases} \sin x \rightarrow \text{odd} \\ \cos x \rightarrow \text{even} \end{cases}$   
 $f(x) = \begin{cases} x^3 \rightarrow \text{odd} \\ x^8 \rightarrow \text{even} \end{cases}$

Why it matters?

only need to graph positive (or negative) half of the domain.

eg:  $f: [-2, 7] \rightarrow \mathbb{R}$   
only need to graph  $f: [0, 7]$ .

Periodicity

$f$  is periodic w/ period  $T$

if  $f(x+T) = f(x)$  for all  $x$

trig. functions

only need to graph  $f$  on  $[0, T]$  (or any  $[x, x+T]$ ) and the rest are just copies of it.

eg 11  $f(x) = \frac{x^2 - 3}{x^3} : \mathbb{R} \rightarrow \mathbb{R}$

Note:  $f(-x) = -f(x)$   $\therefore$  only need to sketch  $[0, \infty)$

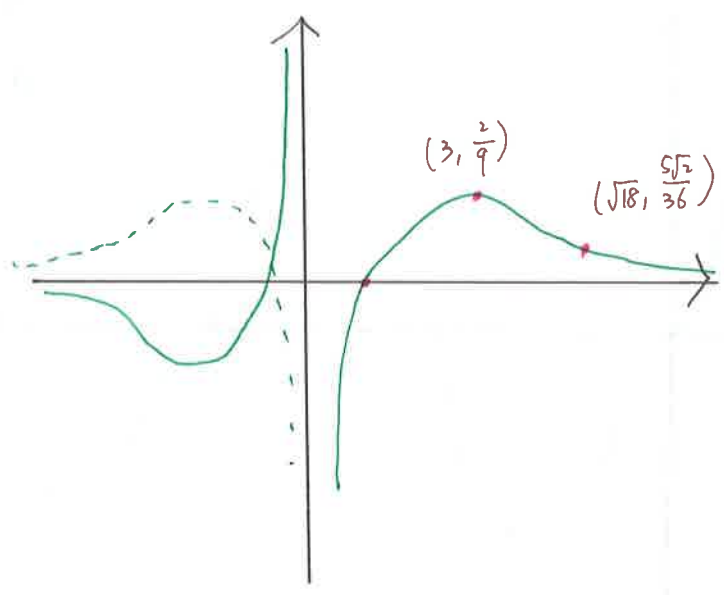
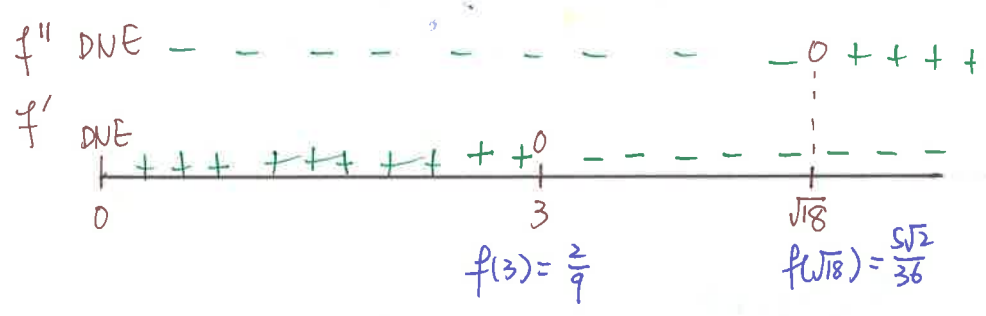
$f'(x) = \frac{9 - x^2}{x^4} \Rightarrow \begin{cases} 0 \text{ at } \pm 3 \\ \text{DNE at } 0 \end{cases}$

$f''(x) = \frac{2(x^2 - 18)}{x^5} \Rightarrow \begin{cases} 0 \text{ at } \pm \sqrt{18} \\ \text{DNE at } 0 \end{cases}$

$\lim_{x \rightarrow 0^+} f(x) = -\infty$  (vertical asympt.)

$f(x) = 0$  at  $\sqrt{3}$

$\lim_{x \rightarrow +\infty} f(x) = 0$



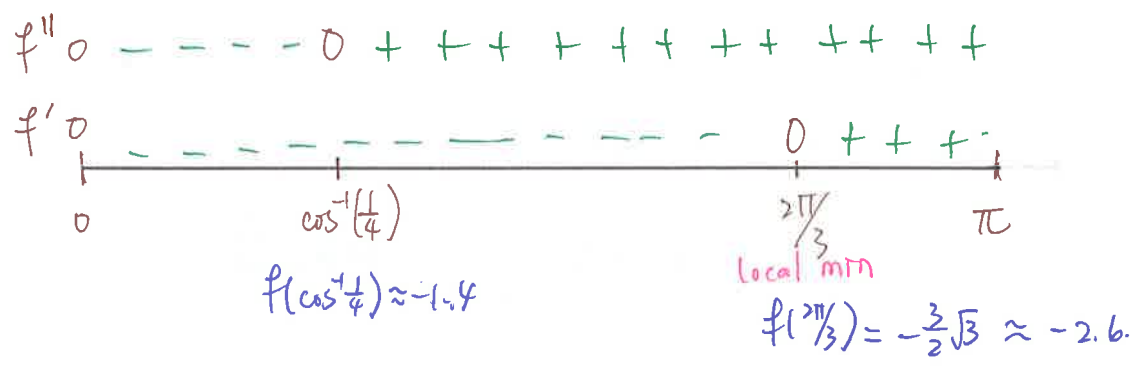
eg<sub>4</sub>  $f(x) = \sin(2x) - 2\sin x : \mathbb{R} \rightarrow \mathbb{R}$

$f$  periodic w/ period  $2\pi \Rightarrow$  only need to sketch  $f$  on  $[-\pi, \pi]$

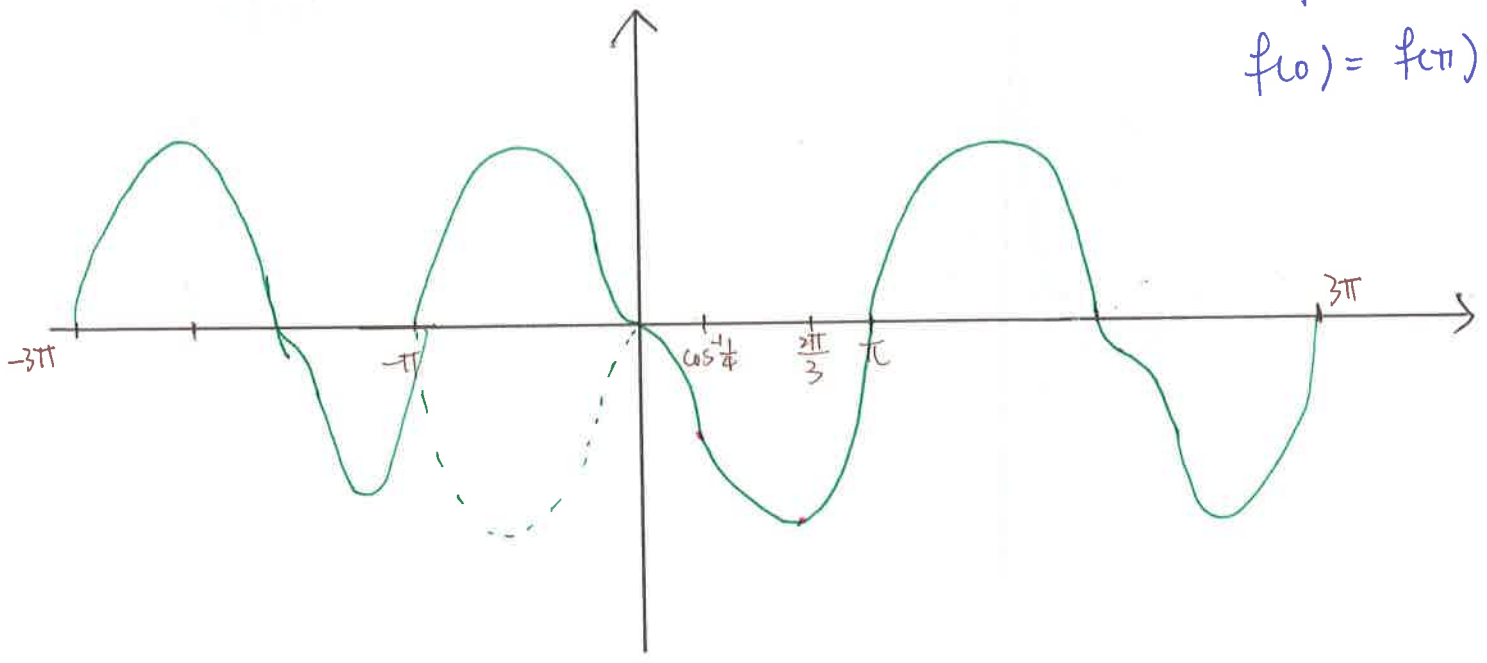
$f(-x) = -f(x) \Rightarrow$  " " " "  
" " " "  $[0, \pi]$ .

$f'(x) = 2(2\cos x + 1)(\cos x - 1)$  ;  $f''(x) = 2\sin x(-4\cos x + 1)$   
 $f' = 0$  at  $0, \frac{2\pi}{3}$  ;  $f'' = 0$  at  $0, \cos^{-1}(\frac{1}{4})$  ( $\approx 1.3$ )

No  $\infty$  involved and  $\lim_{x \rightarrow \pm\infty} f(x)$  DNE (jumping up and down)



$f = 0 \Rightarrow x = 0, \pi$   
 $f(0) = f(\pi) = 0$



eg, Economy - Finance Related.

The cost of building a small office is \$  $1 + 0.1(n-1)$  million for  $n^{th}$  floor

and 5 million fixed cost.

If the rent for each floor is 2M/year, how many floors will yield greatest return in investment?   
 income / cost.

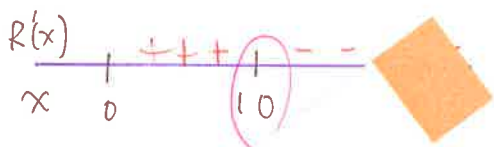
Cost.  $C(n) = 5 + 1 + 1.1 + \dots + [1 + 0.1(n-1)]$   
 $= 5 + \frac{1 + 1 + 0.1(n-1)}{2} n = \frac{0.1n^2 + 1.9n + 10}{2}$

$I(n) = 2n$

$\therefore$  Return  $R(n) = \frac{I(n)}{C(n)} = \frac{4n}{0.1n^2 + 1.9n + 10} : \mathbb{N} \rightarrow \mathbb{R}$   
↑ maximize

can't differentiate  $R(n)$   $\therefore$  consider  $R(x) = \frac{4x}{0.1x^2 + 1.9x + 10}$

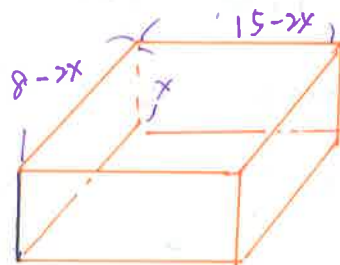
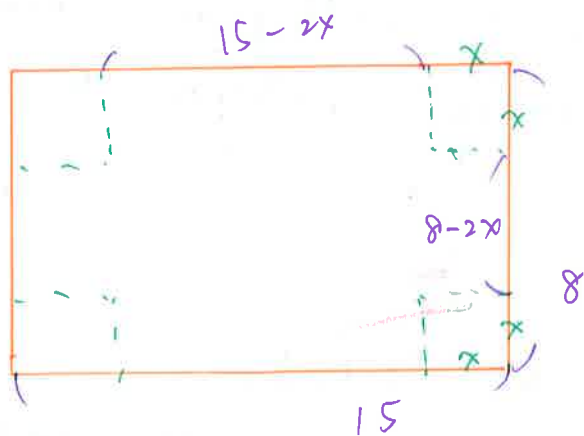
$R'(x) = \frac{40 - 0.4x^2}{(0.1x^2 + 1.9x + 10)^2} = 0$  when  $x = \pm 10$   
 $\rightarrow 10$



$\therefore$  Build 10 floors for maximum return.

eg. (Exercise 15)

Form a box by cutting 4 identical <sup>square</sup> corners w/ side  $x$  from a  $15 \times 8 \text{ cm}^2$  paper and fold. Find  $x$  so that volume is maximized



$$0 \leq x \leq 4$$

$$V(x) = x(15-2x)(8-2x) = 4x^3 - 46x^2 + 120x$$

$$V'(x) = 12x^2 - 92x + 120 = 4(3x-5)(x-6)$$

$$x = \frac{5}{3}, \quad x \neq 6$$

$$V''(x) = 24x - 92 \quad \text{and} \quad V''\left(\frac{5}{3}\right) < 0$$

$$V(0) = V(4) = 0 \quad V\left(\frac{5}{3}\right) = \frac{5}{3} \left(\frac{35}{3}\right) \left(\frac{14}{3}\right)$$

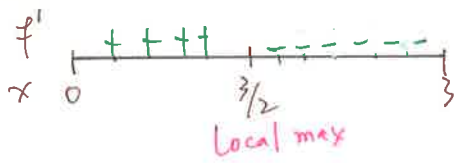
$$= \frac{2450}{27} \leftarrow \text{max volume}$$



$$A'(x) = 24 - 16x$$

$$A'(x) = 0 \text{ at } \frac{3}{2}$$

$$\therefore \text{ suspect list} = \{0, \frac{3}{2}, 3\}$$



$$A(0) = 0, A(3) = 0$$

$$A\left(\frac{3}{2}\right) = 18$$

abs. max

$\therefore$  area is maximized  
at  $x = \frac{3}{2}$ , with value 18

Hint/Search for  
abs. max/min using  
techniques developed  
previously

# \* Max/Min Problems (Optimization)

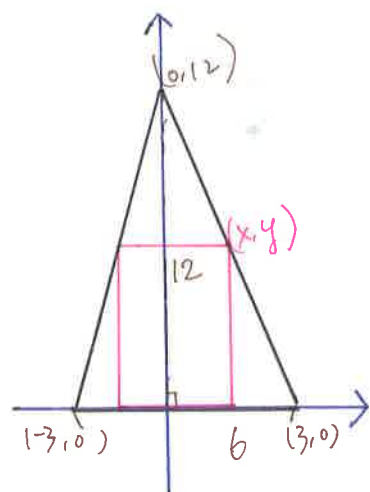
(3)

Model a real world quantity as a function of some variable and [look for optimum (usually max or min) value] of that quantity and when the quantity is achieved.

the hunt for max/min values.

## Geometric Related Problems.

An isosceles  $\Delta$  has base 6 and height 12. Find the maximum possible area for a rectangle inscribed in it with one side resting on the base. What are its dimensions?



Draw (Place) the figure representing the quantities mentioned in the problem on xy plane as conveniently as possible

$$A(x, y) = 2xy$$

But  $(x, y)$  lies on the line  $y = -4x + 12$

$$\Rightarrow A(x) = 2x(-4x + 12) \\ = 24x - 48x^2$$

$$: [0, 3] \rightarrow \mathbb{R}$$

write down the quantity to be optimized w/ a function of a single variable using provided info.

Recognize the domain of independent variable.

# \* Numerical Approximations.

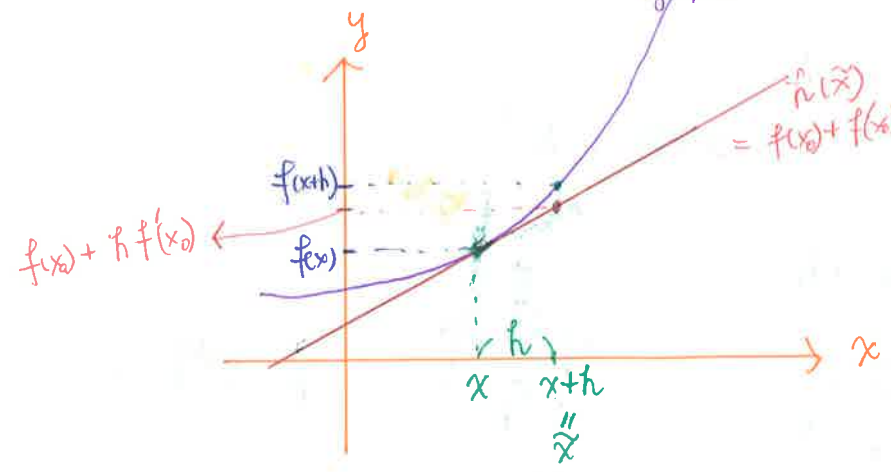
Numerical Analysis

What is numerical value for  $\sqrt{102}$ ? - Differentials  
What is the root for  $x - \cos x$ ? - Newton's Method

## Differentials:

Recall the

geometric insight of derivatives:



For  $h$  small,

$$f(x+h) - f(x) \approx f'(x)h$$

$$\text{i.e. } f(x+h) - f(x) - f'(x)h \rightarrow 0 \text{ as } h \rightarrow 0$$

Moreover,

$$\frac{f(x+h) - f(x) - f'(x)h}{h} \rightarrow 0 \text{ as } h \rightarrow 0$$

We say that,

to the first order, at  $x$  if

$$f(x) = h(x)$$

$$f'(x) = h'(x)$$

Here  $h(x) = f(x) + f'(x)(h-x)$  approximates  $f$  to the 1st order approximates  $f$

In general, a function  $h$

at  $x$  to the  $n^{\text{th}}$  order if

$$f^{(j)}(x) = h^{(j)}(x) \quad \forall 0 \leq j \leq n.$$

## Def. (Differential)

$h \neq 0$ ,  $df = f(x+h) - f(x)$  (increment of  $f$ ) is

approximated by  $df = f'(x)h$ , the differential

of  $f$  at  $x$ .

As observed,

(36)

$$\text{of} - df \rightarrow 0 \text{ as } h \rightarrow 0$$
$$\text{and } \frac{\text{of} - df}{h} \rightarrow 0 \text{ as } h \rightarrow 0.$$

eg<sup>n</sup>  $f(x) = x^2$

$$\Delta f = (x+h)^2 - x^2 = 2xh + h^2$$

$$df = f'(x)h = 2xh$$

$$\text{of} - df = h^2 \xrightarrow{h \rightarrow 0} 0 ; \quad \frac{\Delta f - df}{h} = h \xrightarrow{h \rightarrow 0} 0$$

Numerical Applications:

eg<sup>n</sup> Approximate  $\textcircled{a} \sqrt{104}$   $\textcircled{b} \cos 40^\circ$ .

$\textcircled{a}$  Take  $x = 100$ ,  $f = \sqrt{x}$ ;  $f' = \frac{1}{2\sqrt{x}}$ ,  $h = 4$

$$\sqrt{104} = f(100 + 4)$$

$x = 100$ :  $f(100+4) \approx f(100) + df = \sqrt{100} + \frac{1}{2\sqrt{100}} \cdot 4 = 10 + \frac{1}{5} = 10.2$

$\Delta f = f(100+4) - f(100) \approx df(100)$

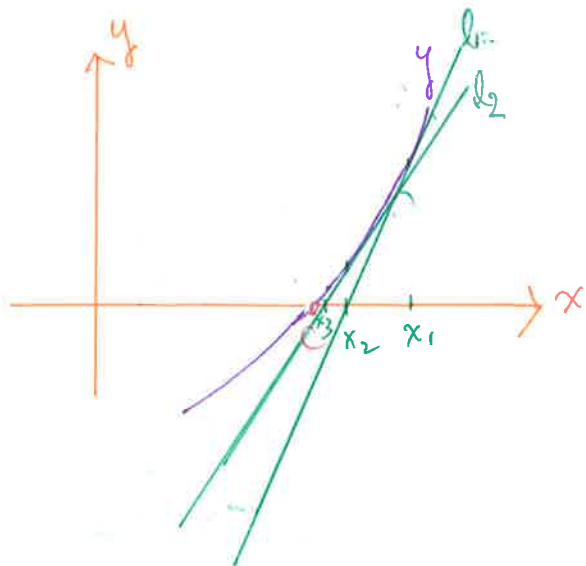
$\textcircled{b}$   $\cos 40^\circ = \cos(45^\circ - 5^\circ) = \cos\left(\frac{\pi}{4} - \frac{\pi}{36}\right)$

$$f = \cos x, \quad x = \frac{\pi}{4}, \quad h = -\frac{\pi}{36}, \quad f' = -\sin x$$

$$\cos 40^\circ = f\left(\frac{\pi}{4} - \frac{\pi}{36}\right) \approx f\left(\frac{\pi}{4}\right) - f'\left(\frac{\pi}{4}\right)\left(-\frac{\pi}{36}\right) = \frac{\sqrt{2}}{2} - \frac{\sqrt{2}}{2} \cdot \frac{\pi}{36} //$$

Approximate value of  $f(x')$  when there is a  $x$  near  $x'$  where  $f(x)$  is easy to compute

# \* Newton - Raphson Approximations



Approximate  $c$  with an initial guess  $x_1$

$$l_1: y - f(x_1) = f'(x_1)(x - x_1)$$

$$y=0 \Rightarrow x_2 = x_1 - \frac{f(x_1)}{f'(x_1)}$$

$$l_2: y - f(x_2) = f'(x_2)(x - x_2)$$

$$y=0 \Rightarrow x_3 = x_2 - \frac{f(x_2)}{f'(x_2)}$$

Get a sequence  $\{x_n\}$  with

$$x_{n+1} = x_n - \frac{f(x_n)}{f'(x_n)} \quad \text{⊗}$$

if  $x_n \rightarrow x$  as  $n \rightarrow \infty$

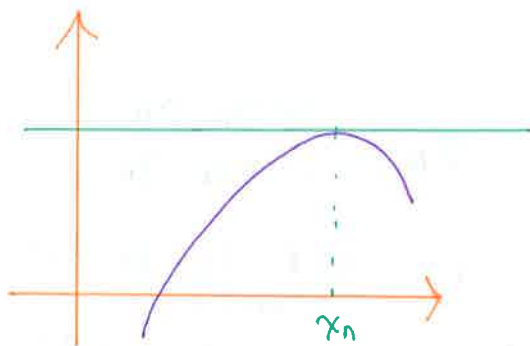
Hopefully,  $f(x_n) = f'(x_n)(x_n - x_{n+1})$

$$n \rightarrow \infty \quad f(x) = 0$$

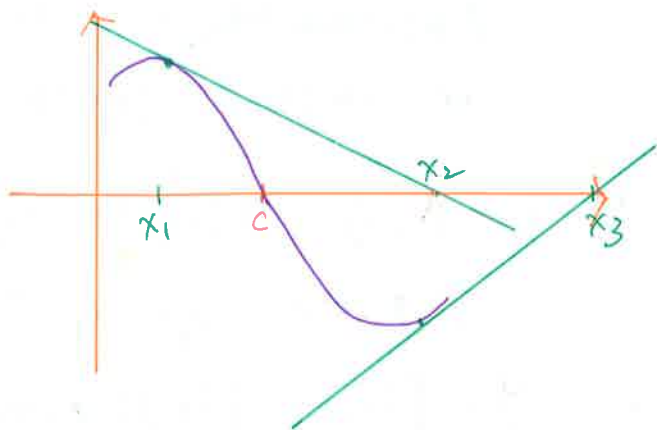
$\therefore x = c$  (under suitable conditions)

There are cases when the approximation fails.

- $f'(x_n) = 0$  for some  $n \Rightarrow$  ⊗ fails, as tangent line never crosses  $x$  axis



•  $\{x_n\}$  might not converge.



Valid approximation happens when

- $f$  behaves nicely
- $x_1$  is well chosen.

eg. Approximate  $\sqrt{3}$ .

$\sqrt{3}$  is root for function  $f(x) = x^2 - 3$ .

Pick  $x_1 = 2$ . 
$$x_{n+1} = x_n - \frac{f(x_n)}{f'(x_n)} = x_n - \frac{x_n^2 - 3}{2x_n}$$

$\Rightarrow x_2 \approx 1.75$

$x_3 \approx 1.73$

⋮

eg. Approximate the root for  $f(x) = \cos x - x$

w/  $x_1 = 1$ .

$$x_4 = 0.73 - \frac{\cos 0.73 - 0.73}{-\sin 0.73 - 1}$$

$$x_{n+1} = x_n - \frac{\cos x_n - x_n}{-\sin x_n - 1} \approx 0.739$$

$$x_2 = 1 - \frac{\cos 1 - 1}{-\sin 1 - 1} \approx 0.75$$

$$x_3 = 0.75 - \frac{\cos 0.75 - 0.75}{-\sin 0.75 - 1} \approx 0.73$$